

# Exploring the SMB2 protocol

Andrew Tridgell  
Samba Team

# What is SMB2?

- An old acquaintance in new packaging
  - Introduced in Vista pre-releases
  - Uses same port number as SMB/CIFS
  - new packet formats
  - new negotiation approach
  - same underlying semantics

# Major SMB2 features

- Larger limits
  - expanded range of many protocol elements
  - 16 byte handles used throughout
  - command words replaced by variable length header
  - same NBT style encapsulation (4 byte packet length)
  - All strings are UTF-16
- Possible features?
  - reportedly supports filesystem transactions
  - reportedly supports arbitrary chaining

# SMB2 header

- The header is quite different from SMB
  - new 0xFE 'S' 'M' 'B' protocol marker
  - header length 64
  - 32 bit NTSTATUS code
  - 16 bit opcode
  - 32 bit flags field
  - 64 bit sequence number
  - 32 bit PID
  - 32 bit TID
  - 64 bit VUID
  - 16 byte signature field

# Opcode parameters

- Each opcode has a parameter block
  - replaces VVV command words from SMB
  - 16 bit length field
  - 1 bit of length reserved for 'dynamic' flag, indicating a dynamic buffer, equivalent to the SMB byte buffer
  - Encoding of parameter block very similar to equivalent encoding of VVV in SMB
  - Size of dynamic part implied by packet size

# SMB2 Create

- ▼ SMB2 (Server Message Block Protocol version 2)
  - ▼ SMB2 Header
    - Server Component: SMB2
    - Header Length: 64
    - NT Status: STATUS\_SUCCESS (0x00000000)
    - Command: Create (5)
    - unknown: 0000
  - ▼ Flags: 0x00000000
    - .....0... = Signing: This pdu is
    - .....0. = PID Valid: The pid fi
    - .....0 = Response: This is a F
    - unknown: 00000000
    - Command Sequence Number: 4
    - Process Id: 00000000 (not valid)
  - ▶ Tree Id: 1 \\vista4\test
  - ▶ User Id: 0x0000040000000001 Acct:tridge Domain:BLUDOM Host:BLU  
Signature: 00000000000000000000000000000000  
[\[Response in: 20\]](#)
- ▼ Create Request (0x05)
  - Length: 56
  - ....1 = Dynamic Part: True
  - ▶ Create Flags: 0x0000
  - Impersonation: Anonymous (0)
  - unknown: 0000000000000000
  - unknown: 0000000000000000
  - ▶ Access Mask: 0x001f01ff
  - ▶ File Attributes: 0x00000080
  - ▶ Share Access: 0x00000007
  - Disposition: Open If (if file exists open it, else create it) (3)
  - ▶ Create Options: 0x00000002
  - ▶ Filename: test9.dat
  - ▶ ExtraInfo MxAc

# SMB Create

- ▼ SMB (Server Message Block Protocol)
  - ▼ SMB Header
    - Server Component: SMB
    - [\[Response in: 27\]](#)
    - SMB Command: NT Create AndX (0xa2)
    - NT Status: STATUS\_SUCCESS (0x00000000)
    - ▶ Flags: 0x08
    - ▶ Flags2: 0xc803
    - Process ID High: 0
    - Signature: 0000000000000000
    - Reserved: 0000
    - ▶ Tree ID: 2048
    - Process ID: 26266
    - User ID: 2048
    - Multiplex ID: 8
  - ▼ NT Create AndX Request (0xa2)
    - Word Count (WCT): 24
    - AndXCommand: No further commands (0xff)
    - Reserved: 00
    - AndXOffset: 0
    - Reserved: 00
    - File Name Len: 60
    - ▶ Create Flags: 0x00000010
    - Root FID: 0x00000000
    - ▶ Access Mask: 0x0002019f
    - Allocation Size: 0
    - ▶ File Attributes: 0x00000080
    - ▶ Share Access: 0x00000003
    - Disposition: Create (if file exists fail, else create it) (2)
    - ▶ Create Options: 0x00000040
    - Impersonation: Impersonation (2)
    - ▶ Security Flags: 0x03
    - Byte Count (BCC): 63
    - File Name: \rawopen\torture\_ntcreatex.txt

# SMB2 Opcodes

SMB2_OP_NEGPROT	0x00	SMB2_OP_LOCK	0x0a
SMB2_OP_SESSSETUP	0x01	SMB2_OP_IOCTL	0x0b
SMB2_OP_LOGOFF	0x02	SMB2_OP_CANCEL	0x0c
SMB2_OP_TCON	0x03	SMB2_OP_KEEPALIVE	0x0d
SMB2_OP_TDIS	0x04	SMB2_OP_FIND	0x0e
SMB2_OP_CREATE	0x05	SMB2_OP_NOTIFY	0x0f
SMB2_OP_CLOSE	0x06	SMB2_OP_GETINFO	0x10
SMB2_OP_FLUSH	0x07	SMB2_OP_SETINFO	0x11
SMB2_OP_READ	0x08	SMB2_OP_BREAK	0x12
SMB2_OP_WRITE	0x09		

Q: Who can see the obvious omissions?

# Path operations

- Handle oriented operation
  - Only one pathname operation – SMB2\_OP\_CREATE
  - unlink, rmdir, qfileinfo and find are all done via open handles (16 bytes each)
  - this continues a trend seen in recent windows releases
  - this makes the protocol logically simpler, especially for access checks
  - number of network round trips for common operations is increased
  - we expect chaining will be used to compensate in the future



# SMB2 in Samba

- We have put quite a large effort into SMB2 in Samba4
  - implemented a quite comprehensive client library
  - implemented a test suite – not comprehensive yet
  - implemented RPC over SMB2
  - implemented a SMB2 server (not complete)
  - in cooperation with Ronnie Sahlberg, implemented a wireshark (ethereal) protocol analyser

# SMB2 Test Suite

- smbtorure and SMB2
  - smbtorure now includes 10 SMB2 tests
  - Tests concentrate on protocol exploration and scanning

SMB2-CONNECT  
SMB2-FIND  
SMB2-GETINFO  
SMB2-LOCK  
SMB2-NOTIFY  
SMB2-SCAN  
SMB2-SCANFIND  
SMB2-SCANGETINFO  
SMB2-SCANSETINFO  
SMB2-SETINFO

# More Information and Credit

- Wireshark
  - Wireshark (formerly ethereal) has good support for SMB2 decoding, thanks to efforts of Ronnie Sahlberg
  - Samba4 sources, in particular libcli/smb2/ and libcli/raw/
- Credit
  - Many thanks for the efforts of Stefan Metzmacher, Volker Lendecke, Steve French, Ronnie Sahlberg and Andrew Bartlett for their great work on SMB2