# Integrating Independent and Centralized Multi-agent Reinforcement Learning for Traffic Signal Network Optimization

Extended Abstract

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## ABSTRACT

Traffic congestion in metropolitan areas is a world-wide problem that can be ameliorated by traffic lights that respond dynamically to real-time conditions. Recent studies that applied deep reinforcement learning (RL) to optimize single traffic lights have shown significant improvement over conventional control. However, optimization of global traffic flow over a large road network fundamentally is a cooperative multi-agent control problem. Centralized learning via single-agent RL is infeasible due to an exponential joint-action space, while independent learning suffers from environment nonstationarity. We propose QCOMBO, a simple yet effective multiagent reinforcement learning (MARL) algorithm that combines the advantages of independent and centralized learning without their shortcomings. We ensure scalability by selecting actions from individually optimized utility functions, which are shaped to maximize global performance via a novel consistency regularization loss between individual utility and a global action-value function. Experiments on diverse road topologies and traffic flow conditions in the SUMO traffic simulator show competitive performance of OCOMBO versus recent state-of-the-art MARL algorithms. We further show that policies trained on small sub-networks can effectively generalize to larger networks under different traffic flow conditions, providing empirical evidence for the suitability of MARL for intelligent traffic control.

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## **1** INTRODUCTION

With increasing urbanization, traffic congestion is a significant and costly problem [10, 15]. While early works proposed to optimize traffic light controllers based on expert knowledge and traditional model-based planning [4, 9, 18], there are promising recent results on applying flexible model-free methods in reinforcement learning (RL) [21] and deep RL, such as DQN in particular [16], to find optimal policies for traffic light controllers that dynamically respond to real-time traffic conditions [1, 7, 11, 24]. These works model a single traffic light as a Markov decision process (MDP) equipped with a discrete action space (e.g. signal phase change) and a continuous state space (e.g. vehicle waiting time, queue length), and

train a policy to optimize the expected return of an expert-designed reward function.

However, the single-agent RL perspective on traffic control optimization fails to account for the fundamental issue that optimizing global traffic flow over a densely connected road network is a cooperative multi-agent problem, where independently-learning agents face difficulty in finding global optimal solutions. Instead, all traffic light agents must act cooperatively to optimize the global traffic condition while optimizing their own individual reward based on local observations. On the other hand, existing work that adopt the multi-agent perspective on traffic signal optimization either fall back to independent learning [5, 12, 13] or resort to centralized optimization of coordinated agents [2, 23]. Independent learners [22] only optimize their own reward based on local observations, cannot optimize for global criteria (e.g., different priorities for different intersections), and they face a nonstationary environment due to other learning agents, which violates stationarity assumptions of RL algorithms. Therefore, these approaches do not account for the importance of macroscopic measures of traffic flow [8]. While centralized training can leverage global information, it requires maximization over a combinatorially-large joint action space and hence is difficult to scale. Motivated by these challenges, our paper focuses on deep multi-agent reinforcement learning (MARL) for traffic signal control with the following specific contributions:

**1. Novel objective function combining independent and centralized training.** We propose QCOMBO, a Q-learning based method with a new objective function that combines the benefits of both independent and centralized learning (Figure 1). We extended the definition of a single-agent reward [24] by defining the global reward as a weighted sum of individual rewards using the PageRank algorithm [17] to decide the weights. The key insight is to learn a global action-value function using the global reward, employ agent-specific observations and local rewards for fast independent learning of local utility functions, and enforce consistency between local and global functions via a novel regularizer. Global information shapes the learning of local utility functions that are used for efficient action selection.

**2. Evaluation of state-of-the-art MARL algorithms on traffic signal optimization.** Recent work proposed more sophisticated deep MARL algorithms for cooperative multi-agent problems with a global reward [6, 19, 20], under the paradigm of centralized training with decentralized execution [3]. However, as they were not designed for settings with individual rewards, it is open as to whether performance can be surpassed by leveraging agent-specific information. While they have shown promise on video game tasks, to the best of our knowledge they have not been tested on the important real-world problem of optimizing traffic signal over a

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network. Hence we conducted extensive experiments comparing our algorithm versus independent Q-learning (IQL), independent actor-critic (IAC), COMA [6], VDN [20] and QMIX [19].

**3.** Generalizability of traffic light control policies. To the best of our knowledge, we conduct the first investigation on the generalizability and transferability of deep MARL policies for traffic signal control. Given improvements in sensor technology, measurements of traffic conditions can be increasingly accurate and real-world measurements can approach ideal simulated data. Hence, there is strong motivation to investigate whether a decentralized policy trained with simulated traffic approximating real-world conditions can be transferred to larger networks and different traffic conditions without loss of performance.

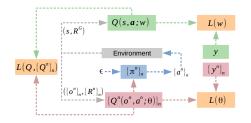


Figure 1: QCOMBO architecture combining independent learning of  $Q^n(o^n, a^n)$  with centralized training of Q(s, a) via a novel consistency loss  $L(Q, \{Q^n\}_n)$ 

#### 2 ARCHITECTURES FOR QCOMBO

QCOMBO is a novel combination of centralized and independent learning with coupling achieved via a new consistency regularizer. We optimize a composite objective (1) consisting of three parts: an individual term based on the loss function of independent DQN (2), a global term for learning a global action-value function (3), and a shaping term that minimizes the difference between the weighted sum of individual Q values and the global Q value (6), where  $\lambda$ controls the extent of regularization.

$$\mathcal{L}_{tot}(w,\theta) = \mathcal{L}(w) + \mathcal{L}(\theta) + \lambda \mathcal{L}_{reg}$$
(1)

$$\mathcal{L}(\theta^n) = \frac{1}{N} \sum_{n=1}^N \mathbb{E}_{\pi} \left[ \frac{1}{2} (y_t^n - Q_{\theta^n}^n (o_t^n, a_t^n))^2 \right]$$
(2)

$$\mathcal{L}(w) = \mathbb{E}_{\pi} \left[ \frac{1}{2} \left( y_t - Q_w^{\pi}(s_t, \mathbf{a}_t) \right)^2 \right]$$
(3)

$$Q^{\pi}(s,\mathbf{a}) \coloneqq \mathbb{E}_{\pi}\left[\sum_{t=0}^{\infty} \gamma^{t} R^{g} \mid s_{0} = s, \mathbf{a}_{0} = \mathbf{a}\right]$$
(4)

$$y_{t} = R_{t}^{g} + \gamma Q_{\hat{w}}^{\pi}(s', \mathbf{a}')|_{a'' = \arg\max_{a^{n}} Q_{\hat{\theta}}^{n}(o'', a^{n})}$$
(5)

$$\mathcal{L}_{reg} \coloneqq \mathbb{E}_{\pi} \left[ \frac{1}{2} \left( \mathcal{Q}_{w}^{\pi}(s, \mathbf{a}) - \sum_{n=1}^{N} k^{n} \mathcal{Q}_{\theta}^{n}(o^{n}, a^{n}) \right)^{2} \right]$$
(6)

 $Q_w^{\pi}$  (4) and  $Q_{\theta}^n$  are global and individual utility functions,  $y_t^n$ ,  $y_t$  are the individual and global TD target.

By optimizing individual utility functions  $Q^n$  instead of a global optimal Q function, we reduce the maximization problem *at each step* of Q-learning from  $O(|\mathcal{A}|^N)$  to  $O(N|\mathcal{A}|)$ . We also learn the global Q function *under the joint policy induced by all agents' local utility functions*, rather than learn the *optimal* global Q function, and use it to shape the learning of individual agents via information in global state *s* and global reward  $R^g$ . Crucially, action selection for computing the TD target (5) uses the greedy action from local utility functions and does not use the global Q function. The collection of local utility functions induce a joint policy  $\pi$  that generates data for off-policy learning of the global action-value function  $Q^{\pi}$ . The regularization brings the weighted sum of individual utility functions closer to global expected return, so that the optimization of individual utility functions is influenced by the global objective rather than purely determined by local information.

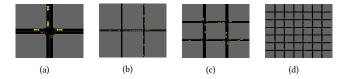


Figure 2: Grid topology used : (a) 1 traffic light example; (b) 2 traffic lights; (c)  $2 \times 2$  traffic lights; (d)  $6 \times 6$  traffic lights

### **3 EXPERIMENTAL SETUP**

We formulate the multi-agent traffic light control problem as a partially-observed Markov game, consisting of N agents (Figure 2). Each agent controls the phase of one traffic light at an intersection.

We evaluated the performance of our method against a large set of baselines on multiple road networks under a variety of traffic conditions in the SUMO simulator [14, 25]. We implemented all algorithms using deep neural networks as function approximators. For each algorithm, we report the mean of five independent runs.

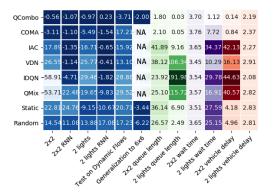


Figure 3: Heat map showing each algorithm's final performance, the left six columns are final reward under different road networks, the rest are measures of traffic conditions

### 4 RESULTS AND CONCLUSIONS

Over all flow and network configurations, QCOMBO attained the global optimal performance and is most stable among all algorithms (Figure 3). The performance of QCOMBO on test conditions does not heavily depend on specific choices of training conditions. Experiments also indicate that QCOMBO can be generalized with limited loss of performance to large traffic networks. Our work gives strong evidence for the feasibility of training cooperative policies for generalizable, scalable and intelligent traffic light control.

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