

# Using unsupervised corpus-based methods to build rule-based machine translation systems

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# Outline

- 1 Motivation & goal
- 2 Part-of-speech taggers for machine translation
  - Part-of-speech tagging
  - MT-oriented hidden Markov model training
- 3 Pruning of disambiguation paths
  - Disadvantages of the MT-oriented method
  - Pruning method
- 4 Part-of-speech tag clustering
  - Best HMM topology for taggers used in MT
  - Bottom-up agglomerative clustering
- 5 Automatic inference of transfer rules
  - Alignment templates for shallow-transfer machine translation
  - Generation of Apertium transfer rules
- 6 Concluding remarks

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# Motivation

- Experience in the development of shallow-transfer MT systems
  - interNOSTRUM** Spanish↔Catalan
  - Traductor Universia** Spanish↔Portuguese
  - Apertium** Several language pairs available
- Huge human effort to code all the linguistic resources
- Resources usually needed by shallow-transfer MT systems
  - Monolingual dictionaries
  - Part-of speech (PoS) taggers
  - Bilingual dictionaries
  - Structural transfer rules

# Goal

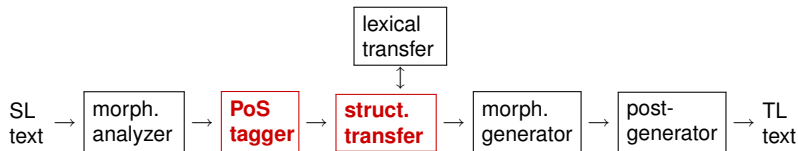
## Goal:

- To **reduce** the human effort
- Using **corpus-based** methods
- In an **unsupervised** way

## Focus on:

- the **PoS taggers** used in the analysis phase
- the set of shallow structural **transfer rules** used in translation

⇒ Benefiting from the rest of resources ⇐



<http://apertium.org>

# Outline

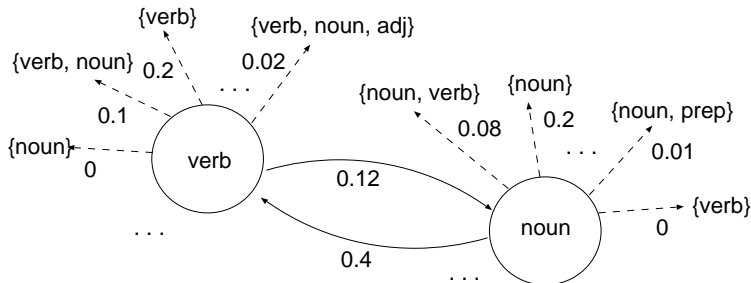
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# Part-of-speech tagging /1

**Problem:** Selecting the correct PoS tag for those words with more than one (ambiguous words)

⇒ *Hidden Markov models* (HMM) are one of the standard statistical solutions

- Each HMM state corresponds to a different PoS tag
- Each input word is replaced by its corresponding ambiguity class



## Part-of-speech tagging /2

In MT PoS tagging becomes **crucial**:

- Translation may differ from one PoS tag to another

English	PoS	Spanish
<i>book</i>	noun	<i>libro</i>
	verb	<i>reservar</i>

- Structural transformations may be applied (or not) for some PoS tag

English	PoS	Spanish	
<i>the green house</i>	<i>green</i> -adj	<i>la casa verde</i>	reordering
	<i>green</i> -noun	* <i>el césped casa</i>	← rule applied



# General-purpose HMM training methods

General-purpose HMM training methods:

- **Supervised** (hand-tagged corpora available):
  - Maximum-likelihood estimate (MLE)
- **Unsupervised** (only untagged corpora available):
  - Baum-Welch (expectation-maximization, EM)

Main features:

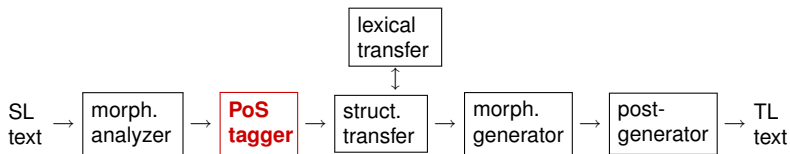
- Only use information from the language being tagged
- Independent of the natural language processing application
- To get high tagging accuracy **supervised** resources (hand-tagged corpora) must be built

# MT-oriented HMM training method

- PoS tagging is just an **intermediate** task for the whole translation procedure
- Good **translation performance**, rather than PoS tagging accuracy, becomes the **real objective**

**Idea:** As the goal is to get good translations into TL, let a TL model decide whether a given “construction” in the TL is good or not

# MT-oriented HMM training method: overview / 1

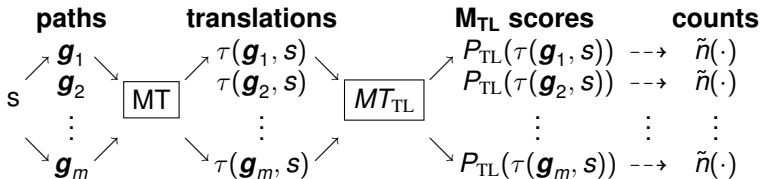


- **Unsupervised** training
- **Resources** required:
  - an **SL untagged text** automatically obtained from an SL raw corpus
  - the other modules of the MT system following the PoS tagger
  - a **TL model** trained from a raw TL corpus

# MT-oriented HMM training method: overview /2

## ● Procedure:

- 1 SL corpus is **segmented**
- 2 All possible disambiguations of each segment are **translated** into TL
- 3 A TL model is used to **score** each translation
- 4 HMM parameters are computed according to the likelihood of the corresponding translations into TL



⇒ The resulting tagger is **tuned to the translation fluency** ⇐

## Example: English→Spanish

- SL segment (English):
  - He<sub>-prn</sub> rocks<sub>-noun|verb</sub> the<sub>-art</sub> table<sub>-noun|verb</sub>

## Example: English→Spanish

- SL segment (English):
  - He-prn rocks-noun|verb the-art table-noun|verb
- Possible translations (Spanish) according to each disambiguation and their normalized likelihoods according to a TL model:
 

● Él-prn mece-verb la-art mesa-noun	0.75
● Él-prn mece-verb la-art presenta-verb	0.15
● Él-prn rocas-noun la-art mesa-noun	0.06
● Él-prn rocas-noun la-art presenta-verb	+ 0.04
	1.00

## Example: English→Spanish

- SL segment (English):
  - He-prn rocks-noun | verb the-art table-noun | verb
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● Él-prn rocas-noun la-art mesa-noun	0.06
● Él-prn rocas-noun la-art presenta-verb	+ 0.04
	1.00
- The HMM parameters involved in these 4 disambiguations are updated according to their likelihoods in the TL

# Experiments /1

- **Task:** training PoS tagger for Spanish, French and Occitan to be used in MT into Catalan
- **TL model:** trigram language model trained from a Catalan corpus with  $\approx 2 \cdot 10^6$  words
- **Experiments** conducted with
  - 5 disjoint corpora with  $0.5 \cdot 10^6$  words for Spanish
  - 5 disjoint corpora with  $0.5 \cdot 10^6$  words for French
  - Only one corpus with  $0.3 \cdot 10^6$  words for Occitan

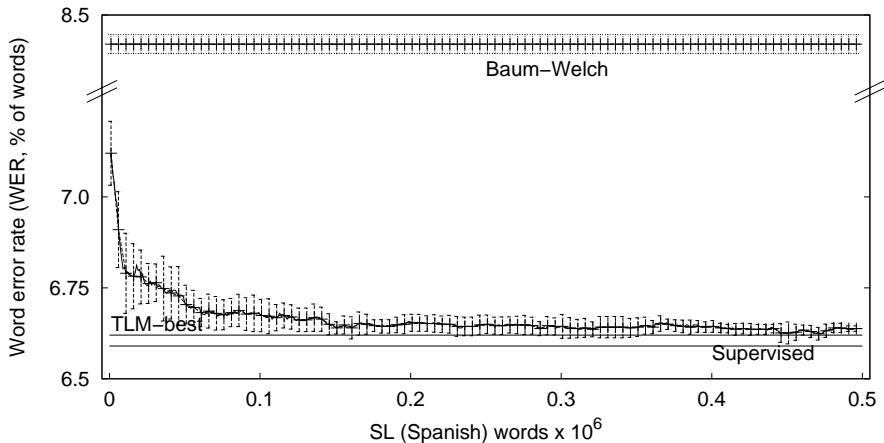


# Experiments /2

- **Reference results:**
  - **Baum-Welch** expectation maximization on  $10 \cdot 10^6$  words corpora
  - **Supervised:** MLE from a hand-tagged corpus  $\approx 21.5 \cdot 10^3$  words (only for Spanish)
  - **TLM-best:** when a TL model is used at translation time to select always the most likely translation
    - approximate indication of the best results the MT-oriented method could achieve

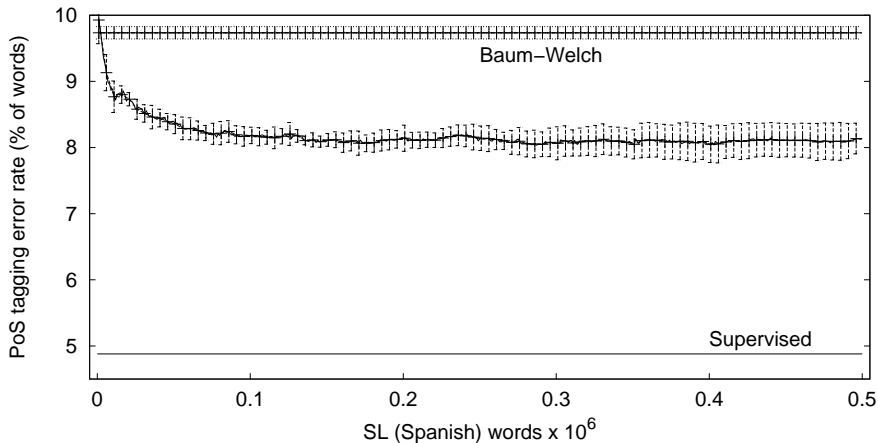
# Some results: Spanish→Catalan /1

Mean and std. dev. of the translation performance, WER (% of words)



## Some results: Spanish→Catalan /2

Mean and std. dev. of the PoS tagging error rate (% of words)



## Some results: Spanish→Catalan /3

**Why** are the translation performances for the supervised and the MT-oriented method comparable, but not the PoS tagging error rates?

- TL information does not discriminate among the SL analyses of a segment leading to the same translation

French	PoS	Spanish
<i>la ville</i>	<i>la-art</i> <i>la-prn</i>	<i>la ciudad</i>

- **Free-ride**: phenomenon by which choosing the incorrect interpretation for an ambiguous word does not result in a translation error

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# Disadvantages of the MT-oriented method

- The **number** of possible disambiguations to translate grows **exponentially** with segment length
- Translation is the most time-consuming task
- **Goal:** To overcome this problem
- **How:** Pruning unlikely disambiguation paths by using *a priori* knowledge

# Pruning method /1

- Based on an **initial** model of SL tags

**Assumption:** Any reasonable model of SL tags may be useful to choose a subset of possible disambiguation paths so that the correct one is in that subset

- The model used for pruning can be **updated** dynamically during training

# Pruning method /2

- 1 The *a priori likelihood* of each possible disambiguation path of SL segment  $s$  is calculated using the pruning model
- 2 The set of disambiguation paths to take into account is determined by using a mass probability **threshold**  $\rho$ 
  - Only the minimum number of paths to reach the mass probability threshold  $\rho$  are taken into account



## Example (English→Spanish)

- SL segment (English):
  - He-prn rocks-noun | verb the-art table-noun | verb

## Example (English→Spanish)

- SL segment (English):
  - He-prn rocks-noun | verb the-art table-noun | verb
- Normalized *a priori* likelihoods:

$$\begin{array}{r}
 \mathbf{g}_1 = (\text{prn}, \text{verb}, \text{art}, \text{noun}) \quad 0.69 \\
 \mathbf{g}_2 = (\text{prn}, \text{verb}, \text{art}, \text{verb}) \quad 0.14 \\
 \mathbf{g}_3 = (\text{prn}, \text{noun}, \text{art}, \text{noun}) \quad 0.10 \\
 \mathbf{g}_4 = (\text{prn}, \text{noun}, \text{art}, \text{verb}) \quad + 0.07 \\
 \hline
 \quad \quad \quad \quad \quad \quad \quad \quad 1.00
 \end{array}$$

## Example (English→Spanish)

- SL segment (English):
  - He-prn rocks-noun | verb the-art table-noun | verb

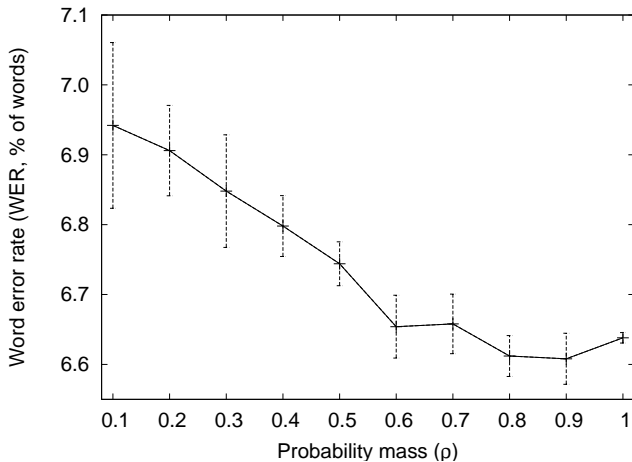
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 \hline
 \quad \quad \quad \quad \quad \quad \quad \quad 1.00
 \end{array}$$

- With  $\rho = 0.8$ ,  $\mathbf{g}_3$  and  $\mathbf{g}_4$  are discarded because  $0.69 + 0.14 \geq 0.8$

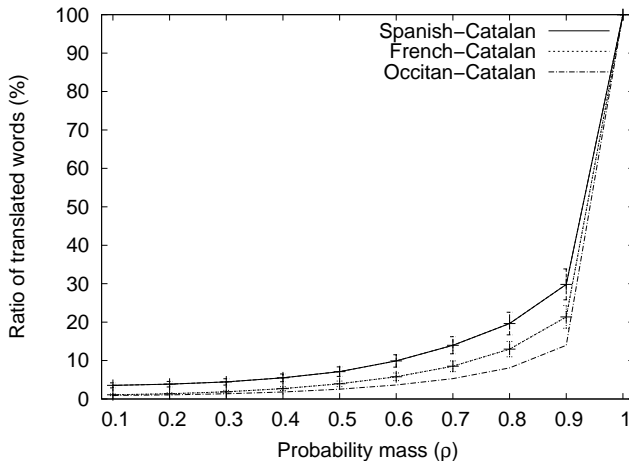
# Some results: Spanish→Catalan

Mean and std. dev. of the translation performance, WER (% of words)



# Some results

## Ratio of translated words



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# Best HMM topology for taggers used in MT

- Large tagsets (set of PoS tags) for richly-inflected languages
    - fine PoS tags convey lot of information  
e.g. `verb.pret.3rd.pl`, `noun.m.sg`
  - A reduced tagset **manually defined** following linguistic guidelines is usually used
    - Maps fine tags into coarse ones
    - Should allow for better parameter estimation
  - **Goal:** To automatically determine the set of states to be used
    - Avoid the human intervention in defining the tagset
- ⇒ *Model merging* approach (Stolcke and Omohundro, 1994) cannot be applied using untagged corpora

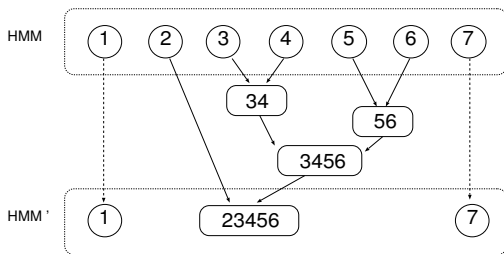
# Bottom-up agglomerative clustering

- 1 Place each object in its own cluster (singleton)
- 2 Iteratively **compare** all pairs of clusters and choose the two **closest** clusters according to a distance measure
  - If the distance between the selected clusters is below a certain threshold, **merge** both clusters
  - Otherwise, **stop**



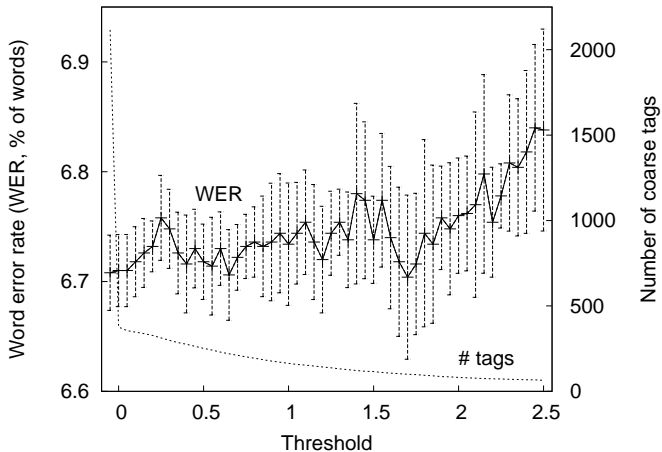
# Clustering of PoS tag

- First model trained using the large tagset via the MT-oriented method
- Distance between cluster based on the state-to-state transition probabilities
- An **additional constraint** ensures that it is possible to restore the information about the fine tag from the coarse one



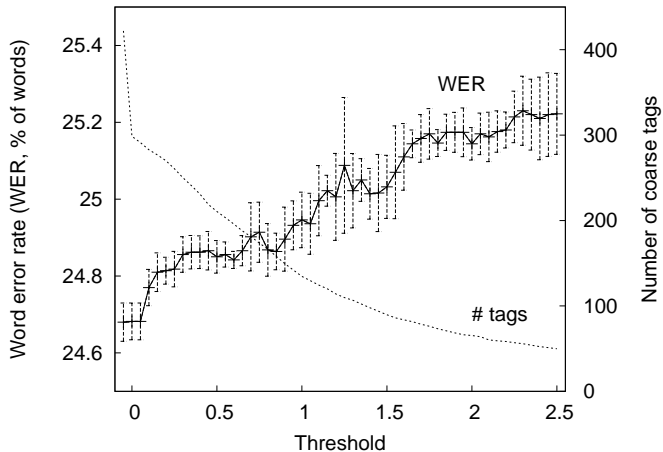
# Some results: Spanish→Catalan

Mean and std. dev. of the translation performance, WER



# Some results: French→Catalan

Mean and std. dev. of the translation performance, WER



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# Automatic inference of transfer rules

## Goal:

- To automatically learn those transformations that produce correct translations in the TL

## How:

- Adapting the alignment templates (ATs) already used in statistical MT to the shallow-transfer approach
  - AT  $z = (S_n, T_m, G)$ 
    - $S_n$ : sequence of  $n$  SL word classes
    - $T_m$ : sequence of  $m$  TL word classes
    - $G$ : alignment information

# AT for shallow-transfer MT: overview /1

- **Resources** required:

- A SL–TL **parallel** corpus
- The morphological analyzers and PoS taggers of the MT system
- The bilingual dictionary of the MT system

- **Procedure:**

- 1 Analyze both sides of the training corpus
- 2 Compute word alignments
- 3 Extract bilingual phrase pairs and derive ATs from them
- 4 Generate shallow-transfer rules

# AT for shallow-transfer MT: overview /2

- **Word class:** part-of-speech (including all the inflection information)
  - **Exception:** lexicalized words are placed in single-word classes
- **Lexicalized categories:** categories that are known to be involved in lexical changes, such as prepositions
  - the method can learn not only syntactic changes

## AT for shallow-transfer MT: overview /3

- ATs are extended with a set  $R$  of **restrictions** over the TL inflection information of non-lexicalized words
  - AT  $z = (S_n, T_m, G, R)$



# AT for shallow-transfer MT: overview /3

- ATs are extended with a set  $R$  of **restrictions** over the TL inflection information of non-lexicalized words

- AT  $z = (S_n, T_m, G, R)$

- Restrictions are derived from the bilingual dictionary

- Bilingual entry that **does not change** inflection information

```
<e><p>
  <l>castigo<s n="noun"/></l>
  <r>càstig<s n="noun"/></r>
</p></e>
```

$R: W=noun.*$

- Bilingual entry that **does change** inflection information

```
<e><p>
  <l>calle<s n="noun"/><s n="f"/></l>
  <r>carrer<s n="noun"/><s n="m"/></r>
</p></e>
```

$R: W=noun.m.*$

- The **bilingual dictionary** is also used to discard phrase pairs that cannot be reproduced by the MT system

# Alignment template example /1

Bilingual phrase:

Alacant ■ ■ ■  
 a ■ ■ ■  
 viure ■ ■ ■  
 van ■ ■ ■  
 vivieron en  
 Alicante

Alignment template:

(noun.loc) ■ ■ ■  
 a-(pr) ■ ■ ■  
 (verb.inf) ■ ■ ■  
 anar-(vbaux.pres.3rd.pl) ■ ■ ■  
 (verb.pret.3rd.pl)  
 en-(pr)  
 (noun.loc)

**Spanish analysis:** *vivieron en Alicante*<sup>1</sup> →  
*vivir*-(verb.pret.3rd.pl) **en**-(pr)  
*Alicante*-(noun.loc)

**Catalan analysis:** *van viure a Alacant* →  
**anar**-(vbaux.pres.3rd.pl) *viure*-(verb.inf)  
**a**-(pr) *Alacant*-(noun.loc)

**Restrictions:**  $w_2 = \text{verb.}^*$ ,  $w_4 = \text{noun.}^*$

<sup>1</sup>Translated into English as *They lived in Alicante*

# Alignment template example /2

Bilingual phrase:

estret ■ ■ ■  
 carrer ■ ■ ■  
 el ■ ■ ■  
 la ■ ■ ■  
 calle ■ ■ ■  
 estrecha ■ ■ ■

Alignment template:

(adj.m.sg) ■ ■ ■  
 (noun.m.sg) ■ ■ ■  
 e1-(art.m.sg) ■ ■ ■  
 e1-(art.f.sg) ■ ■ ■  
 (noun.f.sg) ■ ■ ■  
 (adj.f.sg) ■ ■ ■

**Spanish analysis:** *la calle estrecha*<sup>2</sup> → **el**- (art.f.sg)  
*calle*- (noun.f.sg) *estrecho*- (adj.f.sg)

**Catalan analysis:** *el carrer estret* → **el**- (art.m.sg)  
*carrer*- (noun.m.sg) *estret*- (adj.m.sg)

**Restrictions:**  $W_2 = \text{noun.m.*}$ ,  $W_3 = \text{adj.*}$

<sup>2</sup>Translated into English as *The narrow street*

# Generation of Apertium transfer rules

## Procedure:

- 1 Discard useless AT
- 2 Select the AT to use according to their **frequency**
- 3 For all ATs with the same SL part a rule is generated


## Rule generation:

- The rule matches the SL part all ATs have in common
- In decreasing order of AT frequency counts code is generated to
  - **test** the **restrictions**  $R$  over the TL inflection information
  - if they **hold**, **apply** the AT and **stop** rule execution
- code that translates word-for-word is added
  - it is executed **only** if none of the AT were *applicable*

# AT applicability test /1

Restrictions  $R$  are tested by looking at the bilingual dictionary

Example:


- $R$ :  $w_2 = \mathbf{noun.m}.*$ ,  $w_3 = \mathbf{adj}.*$
- Input string (Spanish): *la señal roja*  $\longrightarrow$   
 $\mathbf{el}$ - (art.f.sg) *señal*- (noun.f.sg)  
*rojo*- (adj.f.sg)
- Translation of non-lexicalized words:
  - *señal*- (noun.f.sg)  $\longrightarrow$  *senyal*- (**noun.m**.sg)
  - *rojo*- (adj.f.sg)  $\longrightarrow$  *vermell*- (adj.f.sg)
-  Restriction **holds**, AT can be applied

(adj.m.sg) ■ ■ ■  
 (noun.m.sg) ■ ■ ■  
 e1-(art.m.sg) ■ ■ ■  
 e1(art.f.sg) ■ ■ ■  
 (noun.f.sg) ■ ■ ■  
 (adj.f.sg) ■ ■ ■

# AT applicability test /2

Restrictions  $R$  are tested by looking at the bilingual dictionary

Example:

- $R$ :  $w_2 = \text{noun.m}.*$ ,  $w_3 = \text{adj}.*$
- Input string (Spanish): *la silla blanca* →  
 $\text{el- (art.f.sg)}$   $\text{silla- (noun.f.sg)}$   
 $\text{blanco- (adj.f.sg)}$
- Translation of non-lexicalized words:
  - $\text{silla- (noun.f.sg)} \rightarrow \text{cadira- (noun.f.sg)}$
  - $\text{blanco- (adj.f.sg)} \rightarrow \text{blanc- (adj.f.sg)}$
-  Restriction **does not hold**, AT cannot be applied

(adj.m.sg) ■ ■ ■  
 (noun.m.sg) ■ ■ ■  
 e1-(art.m.sg) ■ ■ ■  
 e1(art.f.sg)  
 (noun.f.sg)  
 (adj.f.sg)

# Alignment templates application, an example

**Spanish (input):** *permanecieron en Alemania*<sup>3</sup> →  
*permanecer*– (verb.pret.3rd.pl) **en**– (pr)  
*Alemania*– (noun.loc)

**Catalan (output):** **anar**– (vbaux.pres.3rd.pl)  
*romandre*– (verb.inf) **a**– (pr)  
*Alemanya*– (noun.loc) →  
*van romandre a Alemanya*

**Word-for-word translation:**

*romangueren \*en Alemanya*

**R:**  $w_1 = \text{verb.}^*$ ,  $w_3 = \text{noun.}^*$

(noun.loc) ■ ■ ■  
 a-(pr) ■ ■ ■  
 (verb.inf) ■ ■ ■  
**anar**-(vbaux.pres.3rd.pl) ■ ■ ■  
 (verb.pret.3rd.pl) ■ ■ ■  
**en**-(pr) ■ ■ ■  
 (noun.loc) ■ ■ ■

<sup>3</sup>Translated into English as *They remained in Germany*

# Experiments

- **Task:** Inference of shallow-transfer rules for Spanish↔Catalan, Spanish↔Galician and Spanish→Portuguese
- $\approx$  8 lexicalized categories
- Two different training corpora:
  - One with  $2 \cdot 10^6$  words
  - Another with only  $0.5 \cdot 10^6$  words
- Two different evaluation corpora:
  - post-edit** reference translation is a **post-edited** version of the MT performed using **hand-coded** transfer rules
  - parallel** text to translate and reference translation comes from a parallel corpus **analogous** to the one used for training



## Some results

Spanish→Catalan, WER  $\pm$  95% confidence interval

Training	Test	Word-for-word	AT transfer	Hand
$2 \cdot 10^6$	post-edit	$12.6 \pm 0.9$	$8.7 \pm 0.7$	$6.7 \pm 0.7$
	parallel	$26.4 \pm 1.2$	$20.3 \pm 1.1$	$20.7 \pm 1.0$
$0.5 \cdot 10^6$	post-edit	$12.6 \pm 0.9$	$9.9 \pm 0.7$	$6.7 \pm 0.7$
	parallel	$26.4 \pm 1.2$	$21.4 \pm 1.1$	$20.7 \pm 1.0$

Spanish→Portuguese, WER  $\pm$  95% confidence interval

Training	Test	Word-for-word	AT transfer	Hand
$2 \cdot 10^6$	post-edit	$11.9 \pm 0.8$	$12.1 \pm 0.9$	$7.0 \pm 0.7$
	parallel	$47.9 \pm 1.7$	$46.5 \pm 1.7$	$47.6 \pm 1.8$
$0.5 \cdot 10^6$	post-edit	$11.9 \pm 0.8$	$12.1 \pm 0.9$	$7.0 \pm 0.7$
	parallel	$47.9 \pm 1.7$	$47.4 \pm 1.7$	$47.6 \pm 1.8$

# Some results

**Why** such a large difference between Spanish→Catalan and Spanish→Portuguese?

- Because of **how** training corpora have been built
  - Spanish→Catalan, by translating one language into another (newspaper *El Periódico de Catalunya*)
    - 22% of discarded ATs
  - Spanish→Portuguese, by translating from a third language (*JRC-ACQUIS* parallel corpus)
    - 53% of discarded ATs

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- 4 Part-of-speech tag clustering
  - Best HMM topology for taggers used in MT
  - Bottom-up agglomerative clustering
- 5 Automatic inference of transfer rules
  - Alignment templates for shallow-transfer machine translation
  - Generation of Apertium transfer rules
- 6 Concluding remarks

# Concluding remarks /1

## Steps towards more efficient development of RBMT systems

- A new method to train PoS tagger to be used in MT
  - **focuses** on the **task** in which it will be used
  - uses **TL information** without using parallel corpora
  - benefits from information in the **rest of modules**
  - using *a priori* knowledge **saves** around 80% of the **translations** to perform while training
  - better translation quality than tagging accuracy
- PoS tags clustering
  - has not provided the expected results, but
  - may be useful if the number of states is crucial

## Concluding remarks /2

- A method to infer shallow-transfer rules from parallel corpora
  - extends the definition of alignment template
  - **small** amount of information provided by human is used
  - the process followed to build the parallel corpus deserves special attention
  - inferred rules are **human-readable**
  - they **can coexist** with hand-coded rules

# Concluding remarks /3

- Open-source software
  - Can be downloaded from `sf.net/projects/apertium`
    - Packages `apertium-tagger-training-tools` and `apertium-transfer-tools`
  - Ensures **reproducibility**
  - Allows other researchers to **improve** them
  - **Eases** the development of new language pairs for Apertium
  - `apertium-tagger-training-tools` is being used by Prompsit Language Engineering S.L.

## Future research lines

This thesis opens several research lines:

- the use of TL information to train **other** statistical models that run on the SL
- the use of **more than one** TL (triangulation)
- the use of a TL model of **different** nature
- **linguistically-driven** extraction of bilingual phrases
- a **more flexible** way to use lexicalized categories
- a **bootstrapping** method to learn both the PoS tagger and the set of transfer rules cooperatively
- ...

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⇒ Thank you very much for your attention ⇐