

# Structured Plans and Observation Reduction for Plans with Contexts

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## Abstract

In many real world planning domains, some observation information is optional and useless to the execution of a plan; on the other hand, information acquisition may require some kind of cost. The problem of observation reduction for strong plans has been addressed in the literature. However, observation reduction for plans with contexts (which are more general and useful than strong plans in robotics) is still an open problem. In this paper, we present an attempt to solve the problem. Our first contribution is the definition of structured plans, which can encode sequential, conditional and iterative behaviors, and is expressive enough for dealing with incomplete observation information and internal states of the agent. A second contribution is an observation reduction algorithm for plans with contexts, which can transform a plan with contexts into a structured plan that only branches on necessary observation information.

## 1 Introduction

Planning for extended goals in nondeterministic domains [Pistore and Traverso, 2001; Lago *et al.*, 2002], is a well known and significant problem in AI. In nondeterministic and full observable domains, actions are modelled with different outcomes that cannot be predicted at planning time, and the state of the world can be completely identified via some possible observation at execution time. Therefore, it is the job of plans to specify what to do depending on the observation information gathered at execution time, and the context of execution, i.e., the internal state of the agent.

The plan synthesis problem has been addressed in the literature (e.g., see [Pistore and Traverso, 2001; Lago *et al.*, 2002]), where plans are expressed as policies on state–context pairs (i.e., plans with contexts). The agent executing plans with contexts, is considered able to sense the state of the world directly, and depending on the state of the world and the internal state of the agent, execute different actions. In most real world domains, however, the agent distinguishes states of the world by acquiring some optional observation information at some cost (battery, money, and time, etc.). On

the other hand, some observation information may be unnecessary to the plan execution. So it is significant to reduce the unnecessary observation tasks for plans with contexts. A simpler subset of this problem, i.e., observation reduction for strong plans [Cimatti *et al.*, 2003] has been already addressed in [Huang *et al.*, 2007], but to the best of our knowledge, observation reduction for general plans with contexts is still an open problem. In this paper, we present a first attempt to solve the problem.

At first, we extend conditional plans [Huang *et al.*, 2007; Bertoli *et al.*, 2006] to structured plans that can encode sequential, conditional and iterative behaviors, and are expressive enough for dealing with incomplete observation information and with internal states of the agent. Intuitively, structured plans are similar to programs with conditional statements and loops, in which contexts are used to represent the destination points of jumps occurring in execution control. We also define what does “a structured plan is equivalent to a plan with contexts” mean, and the expected cost of observation information acquisition required at every step of the execution of a structured plan.

And then, we define an observation reduction algorithm for general plans with contexts. The algorithm can transform a plan with contexts into a structured plan that only branches on necessary observation information. The algorithm terminates, and is correct (i.e., the structured plan returned by the algorithm is equivalent to the plan with contexts).

This paper is structured as follows. Firstly, we review some basic notions that are relevant to our work (see [Pistore and Traverso, 2001; Huang *et al.*, 2007] for further details and examples). Secondly, we introduce some definitions about structured plans and their execution. Thirdly, we describe an observation reduction algorithm for plans with contexts, and show some properties of the algorithm. Finally, we draw some conclusions and discuss future research directions.

## 2 Preliminaries

A planning domain  $\Sigma = \langle \mathcal{S}, \mathcal{A}, \mathcal{I}, \mathcal{R} \rangle$  is a model of a generic system with its own dynamics, where  $\mathcal{S}$  is a finite set of states,  $\mathcal{A}$  is a finite set of actions,  $\mathcal{I} \subseteq \mathcal{S}$  is the set of initial states (we require  $\mathcal{I} \neq \emptyset$ ), and  $\mathcal{R} : \mathcal{S} \times \mathcal{A} \rightarrow 2^{\mathcal{S}}$  is the state–transition function.  $\text{ACT}(s) = \{a \in \mathcal{A} \mid \exists s' \in \mathcal{R}(s, a)\}$  denotes the set of actions that are applicable in  $s \in \mathcal{S}$ .

A plan with contexts  $\pi_C$  for  $\Sigma$  is a tuple  $\langle C, c_0, act, ctxt \rangle$  where:  $C$  is a set of contexts,  $c_0 \in C$  is the initial context,  $act : \mathcal{S} \times C \rightarrow \mathcal{A}$  is the action function, and  $ctxt : \mathcal{S} \times C \times \mathcal{S} \rightarrow C$  is the context function. The execution of  $\pi_C$  on  $\Sigma$  can be described in terms of transitions between state-context pairs:  $\langle s, c \rangle \xrightarrow{a} \langle s', c' \rangle$  if  $s' \in \mathcal{R}(s, a)$ ,  $a = act(s, c)$ , and  $c' = ctxt(s, c, s')$ . A run of plan  $\pi_C$  from state  $s_0$  is a possibly infinite sequence  $\langle s_0, c_0 \rangle \xrightarrow{a_0} \langle s_1, c_1 \rangle \xrightarrow{a_1} \dots$  where  $\langle s_i, c_i \rangle \xrightarrow{a_i} \langle s_{i+1}, c_{i+1} \rangle$  are transitions. The execution structure  $K = \langle \mathcal{SC}, \mathcal{SC}_0, \mathcal{TR} \rangle$  is the finite presentation of the set of all possible runs of  $\pi_C$  on  $\Sigma$ , where:

- $\mathcal{SC}_0 = \mathcal{I} \times \{c_0\}$ ;
- $\mathcal{SC}$  is the minimal set satisfying the following rules:
  - $\mathcal{SC}_0 \subseteq \mathcal{SC}$ ,
  - if  $\langle s, c \rangle \in \mathcal{SC}$ , and there exists  $a \in \mathcal{A}$  and  $\langle s', c' \rangle \in \mathcal{S} \times C$  such that  $\langle s, c \rangle \xrightarrow{a} \langle s', c' \rangle$ , then  $\langle s', c' \rangle \in \mathcal{SC}$ ;
- and  $\langle \langle s, c \rangle, \langle s', c' \rangle \rangle \in \mathcal{TR}$  if  $\langle s, c \rangle \xrightarrow{a} \langle s', c' \rangle$  for some  $a$ .

The agent executing plans with contexts, is considered able to sense the state of the world directly, and depending on the state of the world and the internal state of the agent (i.e., the context), execute different actions. In practice, however, the agent distinguishes states of the world by acquiring some optional observation information at some cost (e.g., time, money, and power etc.). An observation setting can be modelled by a set of observation variables  $\mathcal{V}$ , and an observation function  $\mathcal{X} : \mathcal{S} \times \mathcal{V} \rightarrow \{\top, \perp\}$ .<sup>1</sup> The observation of a set of states  $\emptyset \subset \mathcal{S}' \subseteq \mathcal{S}$  built on  $\emptyset \subset \mathcal{V}' \subseteq \mathcal{V}$  and  $\mathcal{X}$  can be expressed as a propositional formula, and it is:

$$\text{OBS}(\mathcal{S}', \mathcal{V}', \mathcal{X}) = \bigvee_{s \in \mathcal{S}'} \left( \bigwedge_{v \in \mathcal{V}'} \text{CODE}(s, v, \mathcal{X}) \right), \text{ where}$$

$$\text{CODE}(s, v, \mathcal{X}) = \begin{cases} v & \text{iff } \mathcal{X}(s, v) = \top \\ \neg v & \text{otherwise} \end{cases}$$

$\mathcal{O}(\mathcal{S}, \mathcal{V}, \mathcal{X}) = \{\text{OBS}(\mathcal{S}', \mathcal{V}', \mathcal{X}) \mid \emptyset \subset \mathcal{S}' \subseteq \mathcal{S}, \emptyset \subset \mathcal{V}' \subseteq \mathcal{V}\}$  is the set of all possible observations built from  $\langle \mathcal{S}, \mathcal{V}, \mathcal{X} \rangle$ . Furthermore, for each observation variable  $v \in \mathcal{V}$ , we use an integer  $\text{COST}(v)$  to represent the cost of each time sensing the value of  $v$ .

In the following example<sup>2</sup>, that will be used throughout the paper, we will illustrate the notions introduced in this section.

**Example 1** The left of Figure 1 shows a simple robot navigation domain  $\Sigma$  which contains a  $3 \times 3$  room. The states of  $\Sigma$  (i.e.,  $\mathcal{S} = \{s_0, \dots, s_8\}$ ) correspond to the 9 positions in the room.  $s_0$  and  $s_1$  are the possible initial states of  $\Sigma$ , i.e.,  $\mathcal{I} = \{s_0, s_1\}$ . The robot can move in the four directions (i.e.,  $\mathcal{A} = \{\rightarrow, \downarrow, \leftarrow, \uparrow\}$ ). An action is applicable only if there is no wall in the direction of motion. All the actions are deterministic (e.g.,  $\mathcal{R}(s_3, \downarrow) = \{s_4\}$ ), except for action  $\rightarrow$ :

<sup>1</sup>In fact,  $\langle \mathcal{V}, \mathcal{X} \rangle$  can be used to model partial observability (e.g., [Bertoli et al., 2001; 2006]). However, for the sake of executability of plans with contexts, we assume that any two distinct states of the world can be distinguished by one observation variable at least.

<sup>2</sup>The planning domain and the observation setting introduced in this example are modified from those given in [Huang et al., 2007].

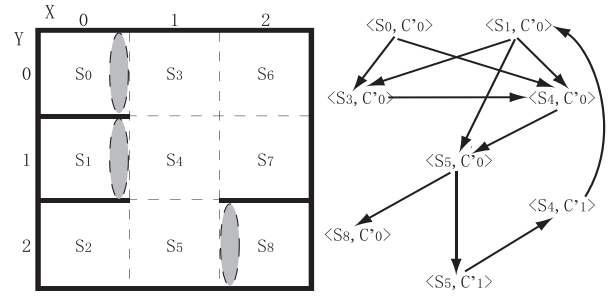


Figure 1: A simple domain  $\Sigma$  and the execution structure corresponding to  $\pi_C$  and  $\Sigma$

$s$	$c$	$act(s, c)$	$s' \in \mathcal{R}(s, a)$	$ctxt(s, c, s')$
$s_0$	$c'_0$	$\rightarrow$	$s_3$ or $s_4$	$c'_0$
$s_1$	$c'_0$	$\rightarrow$	$s_3, s_4,$ or $s_5$	$c'_0$
$s_3$	$c'_0$	$\downarrow$	$s_4$	$c'_0$
$s_4$	$c'_0$	$\downarrow$	$s_5$	$c'_0$
$s_5$	$c'_0$	$\rightarrow$	$s_8$	$c'_0$
$s_5$	$c'_0$	$\rightarrow$	$s_5$	$c'_1$
$s_5$	$c'_1$	$\uparrow$	$s_4$	$c'_1$
$s_4$	$c'_1$	$\leftarrow$	$s_1$	$c'_0$

Table 1: A plan with contexts  $\pi_C$  for  $\Sigma$

- when on  $s_0$  (or  $s_1$ ), the robot may slip and end up either in  $s_3$  or  $s_4$  (or in  $s_3, s_4,$  or  $s_5$ ), i.e.,  $\mathcal{R}(s_0, \rightarrow) = \{s_3, s_4\}$  and  $\mathcal{R}(s_1, \rightarrow) = \{s_3, s_4, s_5\}$ ;
- when on  $s_5$ , the robot may be blocked by a door and end up either in  $s_5$  or  $s_8$ , i.e.,  $\mathcal{R}(s_5, \rightarrow) = \{s_5, s_8\}$ .

Let  $\pi_C = \langle C', c'_0, act, ctxt \rangle$  be the plan with contexts shown in Table 1. The execution structure  $K$  corresponding to  $\pi_C$  and  $\Sigma$  is shown on the right of Figure 1.

Suppose the robot can detect the position of the walls neighboring its location, and perceive whether the X-coordinate (or Y-coordinate) of its location is  $n$  ( $0 \leq n \leq 2$ ) (i.e., the set of observation variables  $\mathcal{V} = \{E, S, W, N, X0, X1, X2, Y0, Y1, Y2\}$ ). So the observation function  $\mathcal{X}$  is such that  $\mathcal{X}(s_0, E) = \perp$ ,  $\mathcal{X}(s_5, X1) = \top$ , and so on.  $\text{OBS}(\mathcal{I}, \{E, S\}, \mathcal{X}) = \neg E \wedge S$ . For the sake of simplicity, we assume that  $\text{COST}(v) = 1$  for each  $v \in \mathcal{V}$ . The agent executing  $\pi_C$ , has to observe all the observation variables before it selects an action to execute. Hence, the total cost of observation information acquisition required at every step of the execution of  $\pi_C$  is  $\sum_{v \in \mathcal{V}} \text{COST}(v) = |\mathcal{V}| = 10$ .

In the rest of this paper,  $\Sigma = \langle \mathcal{S}, \mathcal{A}, \mathcal{I}, \mathcal{R} \rangle$ ,  $\mathcal{V}$ , and  $\mathcal{X}$  represent a planning domain, a finite set of observation variables, and an observation function over  $\mathcal{S}$  and  $\mathcal{V}$ , respectively.

### 3 Structured Plans

In this section, we present the definition of structured plans, which can encode sequential, conditional and iterative behaviors, and is expressive enough for dealing with incomplete observation information and with internal states of the agent.

Intuitively, structured plans are similar to programs with conditional statements and loops. An empty plan  $\varepsilon$  means doing nothing except sending a signal of termination. Contexts work as destination points of jumps that take place in execution control, and the initial context represents the place where the whole execution begins. For each context, the explanation function specifies the rest of the plan to be executed from the point corresponding to the context.

**Definition 1** A structured plan for  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  is a tuple  $\pi_S = \langle \mathcal{C}, c_0, \mathcal{E} \rangle$ , where  $\mathcal{C}$  is a finite set of contexts,  $c_0 \in \mathcal{C}$  is the initial context, and  $\mathcal{E} : \mathcal{C} \rightarrow \Pi$  is the explanation function.  $\Pi$  is the set of sub-plans built on  $\langle \Sigma, \mathcal{V}, \mathcal{X}, \mathcal{C} \rangle$ , and it is the minimal set satisfying the following rules:

- $\mathcal{C} \cup \{\varepsilon\} \subseteq \Pi$ ,  $\varepsilon$  is the empty plan;
- if  $a \in \mathcal{A}$  and  $\pi \in \Pi$ , then  $a \circ \pi \in \Pi$ ;
- if  $\emptyset \subset \mathcal{V}' \subseteq \mathcal{V}$ , and  $\langle o_i, \pi_i \rangle \in \mathcal{O}(\mathcal{S}, \mathcal{V}', \mathcal{X}) \times \Pi$  for all  $1 \leq i \leq n$ , then  $\mathbf{switch}(\mathcal{V}')\{\langle o_i, \pi_i \rangle | 1 \leq i \leq n\} \in \Pi$ .

In the following discussion,  $\pi_S = \langle \mathcal{C}, c_0, \mathcal{E} \rangle$  represents a structured plan for  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$ . We say that  $\pi_S$  is *simple*, if  $\mathcal{C} \cap \{\mathcal{E}(c) | c \in \mathcal{C}\} = \emptyset$ . Intuitively, successive jumps are not allowed in the execution control of a simple structured plan. In the rest of the paper, we consider only structured plans that are simple. If  $o, o' \in \mathcal{O}(\mathcal{S}, \mathcal{V}, \mathcal{X})$  and  $o \rightarrow o'$  is a tautology, then we write  $o \Rightarrow o'$ .

The execution of  $\pi_S$  is defined in terms of the *runs* associated to it. Intuitively, a run  $\sigma$  is a sequence of configurations [Sardina *et al.*, 2006] that, describe the world state, the belief state [Bonet and Geffner, 2000], and the rest of the plan to be executed.

**Definition 2** A configuration for  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and structured plan  $\pi_S$  is a tuple  $\langle s, \mathcal{B}, \pi \rangle \in \mathcal{S} \times 2^{\mathcal{S}} \times \Pi$ .

Configuration  $\langle s, \mathcal{B}, \pi \rangle$  may evolve into configuration  $\langle s', \mathcal{B}', \pi' \rangle$ , written  $\langle s, \mathcal{B}, \pi \rangle \rightarrow \langle s', \mathcal{B}', \pi' \rangle$ , if either:

- $\pi = a \circ \pi''$ , if  $\pi'' \notin \mathcal{C}$  then  $\pi' = \pi''$  else  $\pi' = \mathcal{E}(\pi'')$ ,  $s' \in \mathcal{R}(s, a)$  and  $\mathcal{B}' = \cup_{s'' \in \mathcal{B}} \mathcal{R}(s'', a)$ ; or
- $\pi = \mathbf{switch}(\mathcal{V}')\{\langle o_1, \pi_1 \rangle, \dots, \langle o_n, \pi_n \rangle\}$ ,  $\mathbf{OBS}(\{s\}, \mathcal{V}', \mathcal{X}) \Rightarrow o_i$ , if  $\pi_i \notin \mathcal{C}$  then  $\pi' = \pi_i$  else  $\pi' = \mathcal{E}(\pi_i)$ ,  $s' = s$ , and  $\mathcal{B}' = \{s'' \in \mathcal{B} | \mathbf{OBS}(\{s''\}, \mathcal{V}', \mathcal{X}) \Rightarrow o_i\}$ .

The reachable configurations for  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and  $\pi_S$ , are defined by the following rules:

- if  $\langle s, \mathcal{B}, \pi \rangle$  is initial, then it is reachable;
- if  $\langle s, \mathcal{B}, \pi \rangle$  is reachable and  $\langle s, \mathcal{B}, \pi \rangle \rightarrow \langle s', \mathcal{B}', \pi' \rangle$ , then  $\langle s', \mathcal{B}', \pi' \rangle$  is also reachable.

Due to the nondeterminism in a planning domain, there may be many different runs of a structured plan. We use an execution structure to represent the set of all possible runs.

**Definition 3** The execution structure corresponding to  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and structured plan  $\pi_S$ , is  $K = \langle \mathcal{Q}, \mathcal{Q}_0, \mathcal{T} \rangle$ , where:  $\mathcal{Q}$  is the set of reachable configurations;  $\mathcal{Q}_0 = \{\langle s, \mathcal{I}, \mathcal{E}(c_0) \rangle | s \in \mathcal{I}\}$  is the set of initial configurations; and  $\mathcal{T} = \{\langle q, q' \rangle \in \mathcal{Q} \times \mathcal{Q} | q \rightarrow q'\}$ .

In the following discussion,  $K = \langle \mathcal{Q}, \mathcal{Q}_0, \mathcal{T} \rangle$  represents the execution structure corresponding to  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and  $\pi_S$ .

$\text{TERC}(K) = \{q \in \mathcal{Q} | \forall q' \in \mathcal{Q}. \langle q, q' \rangle \notin \mathcal{T}\}$  denotes the set of terminal configurations of execution structure  $K$ . A run of  $K$  from  $q_0$  is a possibly infinite sequence  $\langle q_0, q_1, \dots \rangle$  such that, for every  $q_i$ , either  $q_i \in \text{TERC}(K)$  or  $\langle q_i, q_{i+1} \rangle \in \mathcal{T}$ .  $\text{RUNS}(q, K)$  denotes the set of runs of  $K$  from  $q$ .

In general, we are interested in executable structured plans, i.e., structured plans whose execution guarantees that an action is never attempted unless it is applicable, and that for any possible observation information, they say what to do next without ambiguity.

**Definition 4** Let  $K = \langle \mathcal{Q}, \mathcal{Q}_0, \mathcal{T} \rangle$  be the execution structure corresponding to  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and structured plan  $\pi_S$ .  $\pi_S$  is executable on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  if:

- for all  $\langle s, \mathcal{B}, \pi \rangle \in \text{TERC}(K)$ ,  $\pi$  is  $\varepsilon$ ; and
- for all  $\langle s, \mathcal{B}, \pi \rangle \in \mathcal{Q}$  such that  $\pi$  takes the form of  $\mathbf{switch}(\mathcal{V}')\{\langle o_i, \pi_i \rangle | 1 \leq i \leq n\}$ , there exists only one  $1 \leq i \leq n$  such that  $\mathbf{OBS}(\{s\}, \mathcal{V}', \mathcal{X}) \Rightarrow o_i$ .

Sometimes we may only be interested in the state transitions induced by (the execution of) a structured plan. Let  $q_0 = \langle s_0, \mathcal{B}_0, \pi_0 \rangle \in \mathcal{Q}$ , and  $\sigma = (\langle s_0, \mathcal{B}_0, \pi_0 \rangle, \langle s_1, \mathcal{B}_1, \pi_1 \rangle, \dots, \langle s_i, \mathcal{B}_i, \pi_i \rangle, \dots) \in \text{RUNS}(q_0, K)$ , then we use  $\text{STRS}(\sigma) = s'_0 \diamond a_1 \diamond s'_1 \diamond \dots$  (where  $s'_i \in \mathcal{S}$ ,  $a_{i+1} \in \mathcal{A}$ ) to represent the state transitions corresponding to  $\sigma$  such that:

- if  $\pi_0 = \varepsilon$ , then  $\text{STRS}(\sigma) = s_0$ ; or else
- if  $\pi_0 = a \circ \dots$  such that  $a \in \mathcal{A}$ , then  $\text{STRS}(\sigma) = s_0 \diamond a \diamond s'_1 \diamond \dots$  such that  $s'_1 \diamond \dots = \text{STRS}(\langle \langle s_1, \mathcal{B}_1, \pi_1 \rangle, \dots, \langle s_i, \mathcal{B}_i, \pi_i \rangle, \dots \rangle)$ ; or else
- $\text{STRS}(\sigma) = \text{STRS}(\langle \langle s_1, \mathcal{B}_1, \pi_1 \rangle, \dots, \langle s_i, \mathcal{B}_i, \pi_i \rangle, \dots \rangle)$ .

Now we can compare the behaviors induced by (the execution of) a structured plan  $\pi_S$  with those induced by a plan with contexts  $\pi_C$ .

**Definition 5** Let  $\pi_C = \langle \mathcal{C}', c'_0, \text{act}, \text{ctxt} \rangle$  be a plan with contexts for  $\Sigma$ . Then  $\pi_S$  is equivalent to  $\pi_C$  on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  iff:

- for each  $s_0 \in \mathcal{I}$  and  $\sigma \in \text{RUNS}(\langle s_0, \mathcal{I}, \mathcal{E}(c_0) \rangle, K)$  such that  $\text{STRS}(\sigma) = s_0 \diamond a_1 \diamond s_1 \diamond a_2 \diamond \dots$ , there exists a run  $\sigma' = \langle s_0, c'_0 \rangle \vec{a}_1 \langle s_1, c'_1 \rangle \vec{a}_2 \dots$  of  $\pi_C$  such that  $c'_i \in \mathcal{C}'$ ;
- and for each  $s_0 \in \mathcal{I}$  and run  $\sigma'$  of  $\pi_C$  from  $s_0$  (let  $\sigma' = \langle s_0, c'_0 \rangle \vec{a}_1 \langle s_1, c'_1 \rangle \vec{a}_2 \dots$ ), there exists  $\sigma \in \text{RUNS}(\langle s_0, \mathcal{I}, \mathcal{E}(c_0) \rangle, K)$  such that  $\text{STRS}(\sigma) = s_0 \diamond a_1 \diamond s_1 \diamond a_2 \diamond \dots$ .

Definition 5 only concerns the state transitions induced by a structured plan and a plan with contexts. However, in many real world domains, the cost of observation information acquisition is an issue.

**Definition 6** Let  $\langle s_0, \mathcal{B}_0, \pi_0 \rangle \in \mathcal{Q}$  and  $\sigma \in \text{RUNS}(\langle s_0, \mathcal{B}_0, \pi_0 \rangle, K)$  such that  $\sigma = (\langle s_0, \mathcal{B}_0, \pi_0 \rangle, \langle s_1, \mathcal{B}_1, \pi_1 \rangle, \dots, \langle s_i, \mathcal{B}_i, \pi_i \rangle, \dots)$ . Then the expected cost of observation information acquisition required at every step of the execution (i.e., choosing an action) corresponding to  $\sigma$  is:

$$\text{AVOC}(\sigma) = \frac{\sum_{i \geq 0} \text{OC}(\pi_i)}{1 + \sum_{i \geq 0} \text{NOA}(\pi_i)}, \text{ where}$$

$$\text{OC}(\pi_i) = \begin{cases} \sum_{v \in \mathcal{V}'} \text{COST}(v) & \text{iff } \pi_i = \mathbf{switch}(\mathcal{V}')\{\dots\} \\ 0 & \text{otherwise} \end{cases}$$

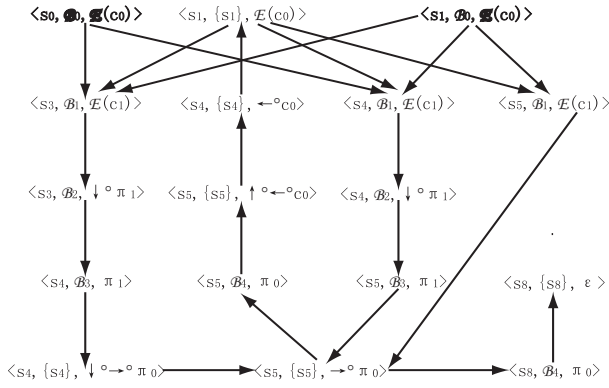


Figure 2: The execution structure corresponding to  $\pi_S$

$$\text{NOA}(\pi_i) = \begin{cases} 1 & \text{iff } \pi_i = a \circ \dots \\ 0 & \text{otherwise} \end{cases}$$

In the following example, we illustrate the definitions given in this section.

**Example 2** Consider the situation depicted in Example 1. Let  $\pi_S = \langle \mathcal{C}, c_0, \mathcal{E} \rangle$  be a structured plan for  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$ , where:

- $\mathcal{C} = \{c_0, c_1\}$ ,  $\mathcal{E}(c_0) = \rightarrow \circ c_1$ ,
- $\mathcal{E}(c_1) = \text{switch}(\{\mathbf{S}\})\{\langle \mathbf{S}, \rightarrow \circ \pi_0 \rangle, \langle \neg \mathbf{S}, \downarrow \circ \pi_1 \rangle\}$ ,
- $\pi_0 = \text{switch}(\{\mathbf{E}\})\{\langle \mathbf{E}, \varepsilon \rangle, \langle \neg \mathbf{E}, \uparrow \circ \leftarrow \circ c_0 \rangle\}$ , and
- $\pi_1 = \text{switch}(\{\mathbf{S}\})\{\langle \mathbf{S}, \rightarrow \circ \pi_0 \rangle, \langle \neg \mathbf{S}, \downarrow \circ \rightarrow \circ \pi_0 \rangle\}$ .

Let  $\mathcal{B}_0 = \mathcal{I}$ ,  $\mathcal{B}_1 = \{s_3, s_4, s_5\}$ ,  $\mathcal{B}_2 = \{s_3, s_4\}$ ,  $\mathcal{B}_3 = \{s_4, s_5\}$ , and  $\mathcal{B}_4 = \{s_5, s_8\}$ . The execution structure  $K = \langle \mathcal{Q}, \mathcal{Q}_0, \mathcal{T} \rangle$  corresponding to  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and  $\pi_S$  is shown (as a directed graph) in Figure 2. It is easy to find that  $\pi_S$  is simple and executable on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$ .

In Figure 2, we can find that  $\sigma_1 = (\langle s_1, \mathcal{B}_0, \mathcal{E}(c_0) \rangle, \langle s_5, \mathcal{B}_1, \mathcal{E}(c_1) \rangle, \langle s_5, \{s_5\}, \rightarrow \circ \pi_0 \rangle, \langle s_5, \mathcal{B}_4, \pi_0 \rangle, \langle s_5, \{s_5\}, \uparrow \circ \leftarrow \circ c_0 \rangle, \langle s_4, \{s_4\}, \leftarrow \circ c_0 \rangle, \langle s_1, \{s_1\}, \mathcal{E}(c_0) \rangle, \langle s_5, \mathcal{B}_1, \mathcal{E}(c_1) \rangle, \dots)$  and  $\sigma_2 = (\langle s_1, \mathcal{B}_0, \mathcal{E}(c_0) \rangle, \langle s_5, \mathcal{B}_1, \mathcal{E}(c_1) \rangle, \langle s_5, \{s_5\}, \rightarrow \circ \pi_0 \rangle, \langle s_8, \mathcal{B}_4, \pi_0 \rangle, \langle s_8, \{s_8\}, \varepsilon \rangle)$  are two runs of  $K$  from  $\langle s_1, \mathcal{B}_0, \mathcal{E}(c_0) \rangle$ . According to definition 6 and the assumption that  $(\forall v \in \mathcal{V}) \text{COST}(v) = 1$ ,  $\text{AVOC}(\sigma_1) = \lim_{n \rightarrow \infty} 2n / (1 + 1 + 4n) = 0.5$  and  $\text{AVOC}(\sigma_2) = 2 / (1 + 2) \approx 0.67$ . Furthermore,  $\pi_S$  is equivalent to  $\pi_C$  on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$ . For each  $s \in \mathcal{I}$  and  $\sigma' \in \text{RUNS}(\langle s, \mathcal{I}, \mathcal{E}(c_0) \rangle, K)$ ,  $\text{AVOC}(\sigma') < 1 < |\mathcal{V}|$  (i.e., the cost of observation information acquisition required at every step of the execution of  $\pi_C$ ).

## 4 Observation Reduction for Plans with Contexts

In this section, we will introduce an algorithm to observation reduction for general plans with contexts. The algorithm (i.e., OBSREDUCE) is reported in Figure 3. Given a planning domain  $\Sigma = \langle \mathcal{S}, \mathcal{A}, \mathcal{I}, \mathcal{R} \rangle$ , an observation setting  $(\mathcal{V}, \mathcal{X})$  on  $\Sigma$ , and a plan with contexts  $\pi_C = \langle \mathcal{C}', c'_0, \text{act}, \text{ctxt} \rangle$  for  $\Sigma$ , it transforms  $\pi_C$  into a structured plan  $\pi_S = \langle \mathcal{C}, c_0, \mathcal{E} \rangle$ , which

- 
1. **procedure** OBSREDUCE( $\pi_C$ )
  2.  $\mathcal{SC}_A := \mathcal{SC}_V := \text{loops} := \emptyset, \mathcal{C} = \emptyset;$
  3.  $\text{dis} := \text{SIMULATE}(\mathcal{I} \times \{c'_0\}, \pi_C);$
  4.  $\mathcal{V}_{\text{obs}} := \text{REDUCE}(\text{dis}, \mathcal{V});$
  5. **for all**  $\mathcal{SC} \in \text{loops} \cup \{\mathcal{I} \times \{c'_0\}\}$
  6.     create a context  $c_{\mathcal{SC}};$
  7.      $\mathcal{C} := \mathcal{C} \cup \{c_{\mathcal{SC}}\};$
  8. **for all**  $c_{\mathcal{SC}} \in \mathcal{C}$
  9.      $\mathcal{E}[c_{\mathcal{SC}}] := \text{CONPLAN}(\mathcal{SC}, \pi_C, \mathcal{V}_{\text{obs}});$
  10. **return**  $\langle \mathcal{C}, \mathcal{C}_{\mathcal{I} \times \{c'_0\}}, \mathcal{E} \rangle;$
  11. **end**
- 

Figure 3: OBSREDUCE algorithm

is equivalent to  $\pi_C$  on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and only branches on necessary observation information. We assume that  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  is a global variable.

At every step (i.e., choosing an action) of the execution of  $\pi_C$ , the agent only needs to distinguish the current state-context pair  $\langle s, c \rangle$  from  $\langle s', c' \rangle \in \mathcal{SC}$  ( $\mathcal{SC}$  is the set of possible current state-context pairs) such that:

- the action specified by  $\pi_C$  on  $\langle s', c' \rangle$  is different from that on  $\langle s, c \rangle$  (i.e.,  $\text{act}(s', c') \neq \text{act}(s, c)$ ); or
- the contexts corresponding to some state  $s''$  will not be identical at next step (i.e.,  $\langle s, c \rangle \xrightarrow{a} \langle s'', c_1 \rangle$  and  $\langle s', c' \rangle \xrightarrow{a} \langle s'', c_2 \rangle$  for some  $a \in \mathcal{A}$  where  $c_1 \neq c_2$ ).<sup>3</sup>

We can use two state-context pairs (e.g.,  $\langle \langle s, c \rangle, \langle s', c' \rangle \rangle$ ) to represent a basic distinguishing task. In the algorithm, all the basic distinguishing tasks that may arise during the execution of  $\pi_C$  from  $\mathcal{I} \times \{c'_0\}$ , are recorded in  $\text{dis}$ . On the other hand,  $\pi_C$  may result in iterative behaviors. Therefore, during the execution of  $\pi_C$ , some loops of sets of state-context pairs encountered may arise. In the algorithm, all the entrances of these loops, and all the sets of state-context pairs encountered, are recorded in  $\text{loops}$  and  $\mathcal{SC}_V$  respectively.  $\mathcal{SC}_A$  records the sets of state-context pairs encountered in some runs of  $\pi_C$  (i.e.,  $\mathcal{SC}_A$  is the current set of state-context pairs).  $\text{loops}$ ,  $\mathcal{SC}_V$ , and  $\mathcal{SC}_A$  are all global variables.

Initially, the recursive procedure  $\text{SIMULATE}(\mathcal{I} \times \{c'_0\}, \pi_C)$  is called to calculate  $\text{dis}$  (line 3). And then,  $\text{REDUCE}(\text{dis}, \mathcal{V})$  is called to calculate  $\mathcal{V}_{\text{obs}} \subseteq \mathcal{V}$  such that  $(\forall \langle \langle s, c \rangle, \langle s', c' \rangle \rangle \in \text{dis})(\exists v \in \mathcal{V}_{\text{obs}}) \mathcal{X}(s, v) \neq \mathcal{X}(s', v)$  (line 4). So at every step of the execution of  $\pi_C$  from  $\mathcal{I} \times \{c'_0\}$ , the agent does not need to consider the values of the observation variables in  $\mathcal{V} - \mathcal{V}_{\text{obs}}$ . At last, for each  $\mathcal{SC} \in \text{loops} \cup \{\mathcal{I} \times \{c'_0\}\}$ , the algorithm creates a distinct context  $c_{\mathcal{SC}}$  to represent the place where  $\mathcal{E}[c_{\mathcal{SC}}]$  (i.e., the rest of the plan  $\pi_S$  to be executed form  $\mathcal{SC}$ ) begins (lines 5–7), and calls the recursive procedure  $\text{CONPLAN}(\mathcal{SC}, \pi_C, \mathcal{V}_{\text{obs}})$  to construct  $\mathcal{E}[c_{\mathcal{SC}}]$  that only branches on some subset of  $\mathcal{V}_{\text{obs}}$  (lines 8–9). The initial

<sup>3</sup>For example, consider the situation and plan  $\pi_C$  depicted in Example 1. Initially, it makes no sense to observe the value of any  $v \in \mathcal{V}$  because  $(\forall s \in \mathcal{I})(\text{act}(s, c'_0) = \rightarrow) \wedge (\forall s' \in \mathcal{R}(s, \rightarrow)) \text{ctxt}(s, c'_0, s') = c'_0$ . If we modify  $\pi_C$  such that  $\text{ctxt}(s_0, c'_0, s_4) = c'_1$ , then  $s_0$  should be distinguished from  $s_1$  because different actions (i.e.,  $\downarrow$  and  $\leftarrow$ ) will be executed on  $s_4$  at next step.

context that represents the place where the whole execution begins, is set as  $c_{\mathcal{I} \times \{c'_0\}}$  (line 10).

SIMULATE, REDUCE, and CONPLAN subroutines are shown in Figure 4 and Figure 5. Now let us introduce them.

SIMULATE( $\mathcal{SC}, \pi_C$ ) calculates the set of basic distinguishing tasks  $dis \subseteq (\mathcal{S} \times \mathcal{C}')^2$  that may arise during the execution of  $\pi_C$  from  $\mathcal{SC}$ . Its basic idea is to simulate the behaviors induced by  $\pi_C$  from  $\mathcal{SC}$ . During the simulation, the entrances of loops (i.e., loops of sets of state–context pairs encountered) are recorded in  $loops$  (lines 13–14); and according to the actions specified by  $\pi_C$  and the successive state–context pairs, the possible current state–context pairs are dealt with separately (lines 18–24, and lines 28–38<sup>4</sup>).

Given  $dis \subseteq (\mathcal{S} \times \mathcal{C}')^2$  and  $\emptyset \subset \mathcal{V}' \subseteq \mathcal{V}$  as input, the procedure REDUCE returns  $\mathcal{V}_{obs} \subseteq \mathcal{V}'$  such that  $(\forall \langle \langle s, c \rangle, \langle s', c' \rangle \rangle \in dis)(\exists v \in \mathcal{V}_{obs}) \mathcal{X}(s, v) \neq \mathcal{X}(s', v)$ . It starts with  $\mathcal{V}_{obs} = \emptyset$  and iteratively adds some  $v \in \mathcal{V}'$  (whose observation cost per basic distinguishing task is minimal, see line 71) into  $\mathcal{V}_{obs}$  until  $d = dis$ , where  $d = \{\langle \langle s, c \rangle, \langle s', c' \rangle \rangle \in dis \mid (\exists v \in \mathcal{V}_{obs}) \mathcal{X}(s, v) \neq \mathcal{X}(s', v)\}$ .

For the execution of  $\pi_C$  started from  $\mathcal{SC} \subseteq \mathcal{S} \times \mathcal{C}'$ , CONPLAN( $\mathcal{SC}, \pi_C, \mathcal{V}_{obs}$ ) constructs the corresponding part of  $\pi_S$ , which only branches on some subset of  $\mathcal{V}_{obs}$ . VPLAN is similar to CONPLAN except that it checks whether  $\mathcal{SC}$  is an entrance of a loop (line 61). In this case, the context corresponding to  $\mathcal{SC}$  (i.e.,  $c_{\mathcal{SC}}$ ) is returned by VPLAN. The basic idea of CONPLAN is similar to that of SIMULATE, i.e., it is to simulate the behaviors induced by  $\pi_C$  from  $\mathcal{SC}$ . If two possible current state–context pairs in  $\mathcal{SC}$  should be distinguished from each other, then the executions of  $\pi_C$  started from them, will be simulated separately (line 41, and lines 44–58).

We now show some properties of the algorithm OBSREDUCE( $\pi_C$ ). To do so, we first introduce the notion of SCS( $\mathcal{I} \times \{c'_0\}, \pi_C$ ). SCS( $\mathcal{I} \times \{c'_0\}, \pi_C$ ) is the minimal set satisfying the following rules:

- $\mathcal{I} \times \{c'_0\} \in \text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)$ ;
- if  $\mathcal{SC} \in \text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)$ , and  $\text{DIVIDE}(\mathcal{SC}, \pi_C) = \{a, \mathcal{SC}\}$  for some  $a \in \mathcal{A}$ , then  $\text{EXECUTE}(\mathcal{SC}, a, \pi_C) \in \text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)$ ;
- if  $\mathcal{SC} \in \text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)$ , then  $(\forall \langle a, \mathcal{SC}' \rangle \in \text{DIVIDE}(\mathcal{SC}, \pi_C)) \mathcal{SC}' \in \text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)$ .

In the execution of OBSREDUCE( $\pi_C$ ), SCS( $\mathcal{I} \times \{c'_0\}, \pi_C$ ) is recorded as  $\mathcal{SC}_V$ , which is updated by the calls of SIMULATE (line 17, Figure 4).

**Theorem 1** Let  $K = \langle \mathcal{SC}, \mathcal{SC}_0, \mathcal{TR} \rangle$  be the execution structure of a plan with contexts  $\pi_C$  on  $\Sigma$ . OBSREDUCE( $\pi_C$ ) is guaranteed to terminate, and it is polynomial in  $|\mathcal{V}|$ ,  $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$ , and  $|\mathcal{SC}|$ .

**Proof:** See appendix. ■

**Theorem 2** When OBSREDUCE( $\pi_C$ ) returns a structured plan  $\pi_S = \langle \mathcal{C}, c_0, \mathcal{E} \rangle$  (let  $K$  be the execution struc-

<sup>4</sup>Formally, let  $\langle a, \mathcal{SC}' \rangle \in \text{DIVIDE}(\mathcal{SC}, \pi_C)$  and  $\langle s, c \rangle \in \mathcal{SC}'$  then  $(\forall \langle s', c' \rangle \in \mathcal{SC})(\langle s', c' \rangle \in \mathcal{SC}' \leftrightarrow (act(s', c') = a) \wedge (\forall s'' \in \mathcal{R}(s, a) \cap \mathcal{R}(s', a))(ctxt(s, c, s'') = ctxt(s', c', s'')))$ .  $\text{VALID}(\mathcal{SC}, \pi_C) = \{\langle s, c \rangle \in \mathcal{SC} \mid act(s, c) \text{ is defined in } \pi_C\}$ . And  $\text{EXECUTE}(\mathcal{SC}, a, \pi_C) = \{\langle s, c \rangle \mid (\exists \langle s', c' \rangle \in \mathcal{SC})(s', c' \overline{a} \langle s, c \rangle)\}$ .

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12. procedure SIMULATE( $\mathcal{SC}, \pi_C$ )
13.   if  $\mathcal{SC} \in \mathcal{SC}_A$  then
14.      $loops := loops \cup \{\mathcal{SC}\}$ , return  $\emptyset$ ;
15.   if  $\mathcal{SC} \in \mathcal{SC}_V$  or  $\text{VALID}(\mathcal{SC}, \pi_C) = \emptyset$ 
16.     then return  $\emptyset$ ;
17.    $\mathcal{SC}_A := \mathcal{SC}_A \cup \{\mathcal{SC}\}$ ,  $\mathcal{SC}_V := \mathcal{SC}_V \cup \{\mathcal{SC}\}$ ;
18.    $divisions := \text{DIVIDE}(\mathcal{SC}, \pi_C)$ ;
19.   if  $divisions = \{a, \mathcal{SC}\}$  for some  $a \in \mathcal{A}$  then
20.     return SIMULATE( $\text{EXECUTE}(\mathcal{SC}, a, \pi_C), \pi_C$ );
21.    $dis = \emptyset$ ,  $\mathcal{SC}' := \mathcal{SC}$ ;
22.   for all  $\langle a', \mathcal{SC}'' \rangle \in divisions$ 
23.      $\mathcal{SC}' := \mathcal{SC}' - \mathcal{SC}''$ ;
24.      $dis := dis \cup (\mathcal{SC}'' \times \mathcal{SC}') \cup \text{SIMULATE}(\mathcal{SC}'', \pi_C)$ 
25.    $\mathcal{SC}_A := \mathcal{SC}_A - \{\mathcal{SC}\}$ ;
26.   return  $dis$ ;
27. end

28. procedure DIVIDE( $\mathcal{SC}, \pi_C$ )
29.    $divisions := \emptyset$ ,  $\mathcal{SC}' := \text{VALID}(\mathcal{SC}, \pi_C)$ ;
30.   while  $\mathcal{SC}' \neq \emptyset$  do
31.     select a pair  $\langle s, c \rangle$  from  $\mathcal{SC}'$  randomly;
32.      $division := \{\langle s, c \rangle\}$ ,  $\mathcal{SC}' := \mathcal{SC}' - \{\langle s, c \rangle\}$ ;
33.     for all  $\langle s', c' \rangle \in \mathcal{SC}'$  such that  $act(s', c') = act(s, c)$ 
34.       and  $\forall s'' \in \mathcal{R}(s, act(s, c)) \cap \mathcal{R}(s', act(s', c'))$ .
35.          $ctxt(s, c, s'') = ctxt(s', c', s'')$ 
36.        $division := division \cup \{\langle s', c' \rangle\}$ ;
37.        $\mathcal{SC}' := \mathcal{SC}' - \{\langle s', c' \rangle\}$ ;
38.      $divisions := divisions \cup \{act(s, c), division\}$ ;
39.   return  $divisions$ ;
40. end

41. procedure CONPLAN( $\mathcal{SC}, \pi_C, \mathcal{V}_{obs}$ )
42.   if  $\text{VALID}(\mathcal{SC}, \pi_C) = \emptyset$  then return  $\varepsilon$ ;
43.    $divisions := \text{DIVIDE}(\mathcal{SC}, \pi_C)$ ;
44.   if  $divisions = \{a, \mathcal{SC}\}$  for some  $a \in \mathcal{A}$  then
45.     return  $a \circ \text{VPLAN}(\text{EXECUTE}(\mathcal{SC}, a, \pi_C), \pi_C, \mathcal{V}_{obs})$ ;
46.    $dis = \emptyset$ ,  $\mathcal{SC}' := \mathcal{SC}$ ;
47.   for all  $\langle a', \mathcal{SC}'' \rangle \in divisions$ 
48.      $\mathcal{SC}' := \mathcal{SC}' - \mathcal{SC}''$ ,  $dis := dis \cup (\mathcal{SC}'' \times \mathcal{SC}')$ ;
49.    $\mathcal{V}_{now} := \text{REDUCE}(dis, \mathcal{V}_{obs})$ ;
50.   for  $1 \leq i \leq |divisions|$ 
51.     select a pair  $\langle a', \mathcal{SC}'' \rangle$  from  $divisions$  randomly;
52.      $divisions := divisions - \{\langle a', \mathcal{SC}'' \rangle\}$ ;
53.      $o_i := \text{OBS}(\{s \mid \exists c. \langle s, c \rangle \in \mathcal{SC}''\}, \mathcal{V}_{now}, \mathcal{X})$ ;
54.      $\pi_i := \text{VPLAN}(\mathcal{SC}'', \pi_C, \mathcal{V}_{obs})$ ;
55.      $n := |divisions|$ ,  $\mathcal{SC}'' := \mathcal{SC} - \text{VALID}(\mathcal{SC}, \pi_C)$ ;
56.     if  $\mathcal{SC}'' \neq \emptyset$  then
57.        $n := n + 1$ ;
58.        $o_n := \text{OBS}(\{s \mid \exists c. \langle s, c \rangle \in \mathcal{SC}''\}, \mathcal{V}_{now}, \mathcal{X})$ ;
59.        $\pi_n := \varepsilon$ ;
60.     return switch( $\mathcal{V}_{now}$ ) $\{\langle o_i, \pi_i \rangle \mid 1 \leq i \leq n\}$ ;
61. end

62. procedure VPLAN( $\mathcal{SC}, \pi_C, \mathcal{V}_{obs}$ )
63.   if  $\mathcal{SC} \in loops$  then return  $c_{\mathcal{SC}}$ ;
64.   return CONPLAN( $\mathcal{SC}, \pi_C, \mathcal{V}_{obs}$ );
65. end

```

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Figure 4: Subroutines: SIMULATE, DIVIDE and CONPLAN

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64. procedure REDUCE( $dis, \mathcal{V}'$ )
65.   for all  $v \in \mathcal{V}'$  do  $di[v] := \emptyset$ ;
66.   for all  $\langle \langle s, c \rangle, \langle s', c' \rangle \rangle \in dis$ , and  $v \in \mathcal{V}'$ 
67.     if  $\mathcal{X}(s, v) \neq \mathcal{X}(s', v)$  then
68.        $di[v] := di[v] \cup \{ \langle \langle s, c \rangle, \langle s', c' \rangle \rangle \}$ ;
69.    $\mathcal{V}_{obs} := \emptyset$ ;
70.   while  $dis \neq \emptyset$ 
71.     find  $v \in \mathcal{V}'$  such that  $COST(v)/|di[v]| =$ 
72.        $\text{MIN}(\{COST(v')/|di[v']| \mid v' \in \mathcal{V}'\})$ ;
73.      $\mathcal{V}_{obs} := \mathcal{V}_{obs} \cup \{v\}$ ;
74.     for all  $v' \in \mathcal{V}$ 
75.        $di[v'] := di[v'] - di[v]$ ;
76.      $dis := dis - di[v]$ ;
77.   return  $\mathcal{V}_{obs}$ ;
end

```

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Figure 5: REDUCE subroutine

ture corresponding to  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and  $\pi_S$ ,  $\pi_S$  is equivalent to  $\pi_C$  on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$ , and  $(\forall s \in \mathcal{I})(\forall \sigma \in \text{RUNS}(\langle s, \mathcal{I}, \mathcal{E}(c_0) \rangle, K)) \text{AVOC}(\sigma) \leq \sum_{v \in \mathcal{V}} \text{COST}(v)$ .

**Proof:** See appendix. ■

Theorem 2 says that the behaviors induced by  $\pi_S$  are similar to those induced by  $\pi_C$ , and the observation cost required at every step of the execution of  $\pi_S$ , is not more than that of  $\pi_C$  (i.e.,  $\sum_{v \in \mathcal{V}} \text{COST}(v)$ ). In the following example, we illustrate the algorithms described in this section.

**Example 3** Consider the situation  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and the plan with contexts  $\pi_C$  depicted in Example 1. We apply the algorithm OBSREDUCE to  $\pi_C$ . Firstly,  $dis$  is computed by  $\text{SIMULATE}(\mathcal{I} \times \{c'_0\}, \pi_C)$ , and it is  $\{ \langle \langle s_3, c'_0 \rangle, \langle s_5, c'_0 \rangle \rangle, \langle \langle s_4, c'_0 \rangle, \langle s_5, c'_0 \rangle \rangle, \langle \langle s_5, c'_1 \rangle, \langle s_8, c'_0 \rangle \rangle \}$  (at the same time,  $loops = \{ \{ \langle s_3, c'_0 \rangle, \langle s_4, c'_0 \rangle, \langle s_5, c'_0 \rangle \} \}$ ); and then,  $\mathcal{V}_{obs}$  is computed by  $\text{REDUCE}(dis, \mathcal{V})$ , and it is  $\{E, S\}$ ; lastly, the structured plan  $\pi_S$  shown in Example 2 is constructed and returned, where  $c_0 = c_{\mathcal{I} \times c'_0}$  and  $c_1 = c_{\{ \langle s_3, c'_0 \rangle, \langle s_4, c'_0 \rangle, \langle s_5, c'_0 \rangle \}}$ .

## 5 Conclusions and Future Work

In this paper we present a first attempt to solve the problem of observation reduction for general plans with contexts. At first, we define structured plans that can encode sequential, conditional and iterative behaviors, and are expressive enough for dealing with incomplete observation information and with internal states of the agent. Intuitively, structured plans are similar to programs with conditional statements and loops, in which contexts are used to represent the destination points of jumps occurring in execution control. We also define what does “a structured plan is equivalent to a plan with contexts” mean, and the expected cost of observation information acquisition required at every step of the execution of a structured plan. And then, we give an algorithm (i.e., OBSREDUCE) to the problem. Given a planning domain  $\Sigma = \langle S, \mathcal{A}, \mathcal{I}, \mathcal{R} \rangle$ , an observation setting  $\langle \mathcal{V}, \mathcal{X} \rangle$  on  $\Sigma$ , and a plan with contexts  $\pi_C = \langle C', c'_0, act, ctxt \rangle$  for  $\Sigma$ , then:

1. OBSREDUCE( $\pi_C$ ) terminates, and is polynomial in  $|\mathcal{V}|$ ,

$|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$  (i.e., the number of sets of state–context pairs possibly encountered), and  $|\text{SC}|$  (i.e., the number of state–context pairs possibly encountered);

2. when OBSREDUCE( $\pi_C$ ) returns a structured plan  $\pi_S$ ,  $\pi_S$  is equivalent to  $\pi_C$  on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$ , and the expected cost of observation information acquisition required at every step of the execution of  $\pi_S$  is not more than  $\sum_{v \in \mathcal{V}} \text{COST}(v)$  (i.e., the cost of observation information acquisition required at every step of the execution of  $\pi_C$ ).

In this paper, we assume a setting of strict uncertainty in which the space of possible effects of actions is known, but the probabilities of these potential alternatives can not be quantified. An extension of our work to the settings where a probability distribution over the set of possible effects of actions is available (e.g., see [Kaelbling *et al.*, 1998; Bonet and Geffner, 2000]), is one of the main objectives of our future research.

The definition of structured plans, can work as a basis of a new framework (for planning with extended goals under partial observability) with respect to the one proposed in [Bertoli *et al.*, 2003; Bertoli and Pistore, 2004], because  $\langle \mathcal{V}, \mathcal{X} \rangle$  can be used to model partial observability. So we plan to define a procedure (which adapts to the idea of observation and context reduction) for planning with extended goals and partial observability in the new framework.

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## A Proofs

**Theorem 1** Let  $K = \langle \mathcal{SC}, \mathcal{SC}_0, \mathcal{TR} \rangle$  be the execution structure of a plan with contexts  $\pi_C$  on  $\Sigma$ .  $\text{OBSREDUCE}(\pi_C)$  is guaranteed to terminate, and it is polynomial in  $|\mathcal{V}|$ ,  $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$ , and  $|\mathcal{SC}|$ .

**Proof:** Firstly, we want to prove that the numbers of the calls of  $\text{SIMULATE}$ ,  $\text{CONPLAN}$ , and  $\text{VPLAN}$  occurring in the execution of  $\text{OBSREDUCE}(\pi_C)$ , are finite and polynomial in  $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$ :

- $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$  is finite because it is a subset of  $2^{S \times C}$ .
- According to Figure 4, only the sets of state–context pairs in  $\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)$  are passed to  $\text{SIMULATE}$ ,  $\text{CONPLAN}$ , and  $\text{VPLAN}$ . On the other hand, for each  $\mathcal{SC}' \in \text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)$ , there are at most  $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$  sets of state–context pairs that can reach to it directly. Therefore, there are at most  $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$  calls of  $\text{SIMULATE}$ ,  $\text{CONPLAN}$ , and  $\text{VPLAN}$  that are on  $\mathcal{SC}'$  (see lines 13–17, line 25, and line 61).

Secondly, we will prove that, in every call of  $\text{SIMULATE}$ ,  $\text{DIVIDE}$ ,  $\text{CONPLAN}$ ,  $\text{VPLAN}$ , and  $\text{REDUCE}$ , all the computation processes (besides the new calls of these procedures) terminate, and are polynomial in  $|\mathcal{V}|$ ,  $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$  and  $|\mathcal{SC}|$ :

1. According to Figure 4 (line 18, and 41), only the sets of state–context pairs in  $\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)$  are possibly passed to  $\text{DIVIDE}$ . It is easy to find that each call of  $\text{DIVIDE}$  terminates and is polynomial in  $|\mathcal{SC}|$ .
2.  $(\forall \mathcal{SC}' \in \text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C))(\forall \langle s, c \rangle, \langle s', c' \rangle \in \mathcal{SC}') (s = s') \rightarrow (c = c')$  (see Figure 4, line 33), because  $(\forall \langle s, c \rangle, \langle s', c' \rangle \in \mathcal{I} \times \{c'_0\}) (s = s') \rightarrow (c = c')$ .
3. For each  $\langle dis, \mathcal{V}' \rangle$  passed to some call of  $\text{REDUCE}$ , we have  $(\forall \langle \langle s, c \rangle, \langle s', c' \rangle \rangle \in dis)(\exists \mathcal{SC}' \in \text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)) \{ \langle s, c \rangle, \langle s', c' \rangle \} \subseteq \mathcal{SC}'$  (see line 4, and line 47). So  $(\forall \langle \langle s, c \rangle, \langle s', c' \rangle \rangle \in dis) s \neq s'$  (according to item 2 shown above). Consequently, under the assumption that any two distinct states can be distinguished by one observation variable at least, each call of  $\text{REDUCE}$  terminates and is polynomial in  $|\mathcal{V}|$  and  $|\mathcal{SC}|$ .
4. It is easy to find that, in every call of  $\text{SIMULATE}$ ,  $\text{CONPLAN}$ , and  $\text{VPLAN}$ , all the computation processes (besides the recursive calls of themselves) terminate, and are polynomial in  $|\mathcal{V}|$ ,  $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$  and  $|\mathcal{SC}|$ .

Lastly, there are  $|\text{loops} \cup \{\mathcal{I} \times \{c'_0\}\}| \leq |\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$  iterations of the loops shown in lines 5–9, Figure 3. Therefore  $\text{OBSREDUCE}(\pi_C)$  is guaranteed to terminate, and is polynomial in  $|\mathcal{V}|$ ,  $|\text{SCS}(\mathcal{I} \times \{c'_0\}, \pi_C)|$ , and  $|\mathcal{SC}|$ . ■

**Theorem 2** When  $\text{OBSREDUCE}(\pi_C)$  returns a structured plan  $\pi_S = \langle \mathcal{C}, c_0, \mathcal{E} \rangle$  (let  $K$  be the execution structure corresponding to  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$  and  $\pi_S$ ),  $\pi_S$  is equivalent to  $\pi_C$  on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$ , and  $(\forall s \in \mathcal{I})(\forall \sigma \in \text{RUNS}(\langle s, \mathcal{I}, \mathcal{E}(c_0) \rangle, K)) \text{AVOC}(\sigma) \leq \sum_{v \in \mathcal{V}} \text{COST}(v)$ .

**Proof:**  $\pi_S$  is constructed by simulating the behaviors induced by  $\pi_C$  from  $\mathcal{I} \times \{c'_0\}$  (see figure 3, figure 4, and figure 5). At each step of the simulation process, only one of the four cases shown below is possible (suppose  $\langle s, c \rangle$  is the current state–context pair,  $\mathcal{SC}' (\langle s, c \rangle \in \mathcal{SC}')$  is the set of possible current state–context pairs):

1.  $\text{VALID}(\mathcal{SC}', \pi_C) = \emptyset$ , no observation is made, and the execution terminates (line 40, figure 4);
2.  $(\exists a \in \mathcal{A})(\forall \langle s', c' \rangle \in \mathcal{SC}') \text{act}(s', c') = a$ , no observation is made, and  $a$  is selected to execute (lines 42–43, figure 4);
3.  $\langle s, c \rangle \notin \text{VALID}(\mathcal{SC}', \pi_C) \neq \emptyset$ , the values of the observation variables in  $\mathcal{V}' \subseteq \mathcal{V}$  are observed where  $(\forall s' \in \{s'' | (\exists c'') \langle s'', c'' \rangle \in \text{VALID}(\mathcal{SC}', \pi_C)\}) (\exists v \in \mathcal{V}') \mathcal{X}(s, v) \neq \mathcal{X}(s', v)$ , and the execution terminates (lines 47, 53–57, figure 4);
4.  $\langle s, c \rangle \in \text{VALID}(\mathcal{SC}', \pi_C)$ ,  $\mathcal{SC}' - \{ \langle s', c' \rangle \in \mathcal{SC}' | \text{act}(s', c') = \text{act}(s, c) \} \neq \emptyset$ , the values of the observation variables in  $\mathcal{V}' \subseteq \mathcal{V}$  are observed where  $(\forall s' \in \{s'' | (\exists c'') (\langle s'', c'' \rangle \in \mathcal{SC}') \wedge \neg(\text{act}(s'', c'') = \text{act}(s, c))\}) (\exists v \in \mathcal{V}') \mathcal{X}(s, v) \neq \mathcal{X}(s', v)$ , and  $\text{act}(s, c)$  is selected to execute (lines 47–52, figure 4).

It is easy to find that  $\pi_S$  is equivalent to  $\pi_C$  on  $\langle \Sigma, \mathcal{V}, \mathcal{X} \rangle$ .

According to the four cases shown before, we can also find that for each  $v \in \mathcal{V}$  and each step of the execution of  $\pi_S$ ,  $v$  can not be evaluated more than once (see procedure  $\text{DIVIDE}$  in figure 4). So for all  $s \in \mathcal{I}$  and  $\sigma \in \text{RUNS}(\langle s, \mathcal{I}, \mathcal{E}(c_0) \rangle, K)$ ,  $\text{AVOC}(\sigma) \leq \sum_{v \in \mathcal{V}} \text{COST}(v)$ . ■